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Comparison of seven Artificial Intelligence models in Predicting Venous

Thromboembolism in COVID-19 Patients

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Abstract

Introduction

An Artificial Intelligence (AI) approach can be used to predict venous thromboembolism (VTE).

Aim

To compare different AI models in predicting VTE using data from patients with COVID-19.

Methods:

We used feature ranking through recursive feature elimination with AI algorithms (logistic regression and random forest classifier) and standard statistical methods to identify the significant factors that contribute to developing VTE in COVID-19 patients using a large dataset from "Coagulopathy associated with COVID-19", a multicentre observational study. We developed seven AI models using the selected significant features to predict the development of VTE during hospitalization and used K-fold cross-validation and hyperparameter tuning to validate and optimize the models. The models' predictive power was tested on 2649 (33% of 8027 overall patients) which were previously separated and not used during model training and validation stages.

Results:

Age, female sex, white ethnicity, comorbidities (diabetes, liver disease, autoimmune disease), and laboratory features (increased haemoglobin, white cell count, D-dimer, lactate dehydrogenase, ferritin) and presence of multi-organ failure were major factors associated with the development of thrombosis. Support Vector Classifier (SVC) model outperformed all other models, achieving an accuracy of 97%. The SVC model also led in precision (0.98), recall (0.97), and F1 score (0.97), and recorded the lowest log-loss score (0.112 on the test dataset), reflecting better model convergence and an improved fit to the data. Additionally, it achieved the highest AUC score (0.983).

Conclusion

The SVC model delivered the best overall performance outperforming similar studies that developed deep learning and machine learning models for COVID-19.

Keywords:

COVID-19, Thrombosis, Artificial Intelligence, Machine Learning, Deep Learning

Essentials

Venous Thromboembolism (VTE) is a major complication in COVID-19 patients.

An Artificial Intelligence (AI) approach can be used to predict VTE

Seven AI models using the selected significant features were developed to predict VTE

Support Vector Classifier (SVC) model outperformed all other models, achieving an accuracy of 97%.

INTRODUCTION:

Artificial intelligence (AI) in medical diagnosis and treatment has revolutionized the field of healthcare, offering new levels of accuracy and efficiency. AI technologies, particularly in medical diagnostics, are transforming how diseases are detected, analysed, and treated. By utilising machine learning and deep learning algorithms, AI can process large amount of data quickly and accurately, providing healthcare providers with invaluable insights[1]. Key areas in medicine that use AI are development of disease or clinical outcome prediction models and tracking the spread of disease or infections. It played a significant role in the coronavirus disease 2019 (COVID-19) which was first declared as a global pandemic in early 2020[2, 3]. Thrombosis was a major complication in patients with COVID-19 admitted to hospitals. The risk of venous thrombosis in COVID-19 patients was three to six times higher compared to those hospitalized for other reasons [3].

Broadly, AI has been applied to COVID-19 in four key areas: diagnosis, public health, clinical decision-making, and therapeutics [4] . Several AI-driven studies have been published on predicting thrombosis in non-COVID-19 patients [5] . During and after the COVID-19 pandemic, there has been a surge in studies integrating AI to predict clinical outcomes in various contexts. Zhang et al. [6] developed an enhanced machine-learning model to improve deep venous thrombosis (DVT) prediction in COVID-19 patients. Their approach resulted in the creation of a DVT prediction model called bSES-AC-RUN-FKNN, which combines fuzzy k-nearest neighbour (FKNN) with an improved Runge-Kutta optimizer (RUN). The model incorporates key features such as age, gender, body mass index (BMI), prothrombin time (PT), international normalized ratio (INR), thrombin time (TT), C-reactive protein (CRP), tumour necrosis factor (TNF), interferon (IFN), and the neutrophil-to-lymphocyte ratio (NLR). The model achieved an accuracy of 91.02% and a sensitivity of 91.07%. However, its performance was constrained by the limited dataset size, including only 424 patients, of whom 202 developed DVT[6].

Al will play a significant role in the future of prediction models and clinical practice as it has the ability to enhance precision, efficiency and scalability in clinical medicine, facilitating better outcomes for the patients. Using Al prediction models to improve patient outcome has the potential to reduce costs and prepare for the future, making medicine more proactive, accessible and effective.

Selecting the best model is the key in AI as the model's performance directly impacts patient safety and clinical outcomes in addition to ethical considerations. Selection of the best AI prediction model significantly benefits biostatisticians and researchers by enhancing research accuracy, facilitating precision medicine, efficient data analysis, increasing the prediction power and preparing for future challenges effectively. However, it is must be mentioned that

there is an important ongoing debate on use of AI methodology as its implementation raises some issues related safety, ethical accountability and how effectively it can be integrated into clinical practice. Good quality, reliable data representing a diverse population are fundamental in developing successful AI models that can be applicable to the general population because poor quality or biased datasets lead to inaccurate and unsafe predictions. Strict regulation of privacy and data security, especially when data is shared across institutions or between countries, is vital as breach of data security is a major ethical concern. Effective integration of AI into clinical practice requires infrastructure, training for linicians to understand the systems and interdisciplinary collaboration

Although COVID-19 is no longer a major threat to public health due to mass vaccination and anti-viral treatment of patients who develop severe infection, models developed using data obtained from patients with COVID-19 can be used as examples for other disease conditions that may share some common risk factors. Although COVID-19 disease was itself a major cause of VTE, some patient risk factors are common to many diseases that are associated with VTE.

In this study, using a large set of data obtained from a multicentre observational study conducted across 26 UK NHS Trusts (Coagulopathy in COVID19 - A Multi- Centre Observational Study in UK https://www.clinicaltrials.gov/ct2/show/NCT04405232), we aimed to compare the ability of different AI models to predict VTE in hospitalized COVID-19 patients to obtain the best performing model. Data from the Coagulopathy in COVID-19 study has been used to assess the clinical outcomes such as thrombosis, major bleeding, multi-organ failure (MOF), and mortality, as well as their associations with patient demographics, comorbidities, and admission laboratory data, using standard statistical methods[7-12].

METHODOLOGY:

Data source:

The study was approved by the Health Research Authority (HRA), Health and Care Research Wales (HCRW), and received local Caldicott Guardian approval in Scotland (reference number: 20/HRA/1785). The dataset comprises records of 8,027 COVID-19 patients aged 18 years and older admitted to hospitals between 1st April 2020 and 31 July 2020. Venous Thromboembolism (VTE) was defined as radiologically confirmed pulmonary embolism and/or deep vein thrombosis. All patients received prophylactic dose low molecular heparin (LWMH) on admission to the hospital unless contraindicated for example by the presence of a bleeding

disorder or platelet count $< 30 \times 10^9$ /L. However, all patients included into this study received prophylactic dose LWMH. Patients who had VTE at admission were excluded (Figure S1) and only patients with symptomatic VTE, who had imaging (Doppler scans or CT pulmonary angiogram or CT scans) to confirm VTE during hospitalization, were considered to have VTE.

Data Cleansing and Feature Engineering:

During the data preprocessing stage, we identified outliers and invalid data using scatter plots, data sorting, and interquartile range (IQR) calculations. We also applied constraints to certain features, such as human weight, height, and blood test results, to ensure no unrealistic values were recorded and k-Nearest Neighbours imputation strategy was used to account for missing laboratory values (<10%) of D-dimer, Troponin I, Ferritin and lactate levels but not for comorbidities or clinical outcomes. Once the imputation was done, results were reviewed for each imputed feature to make sure that the imputation had generated plausible data.

Body mass index (BMI) and age were categorised using clinically relevant cut off points ('<18.5', '18.6-24.9', '25-29.9', '30-39.9', '>40') and ('18-29 years', '30-49 years', '50-69 years', '70-89 years', '>90 years') respectively. A full list of features is given in Table 1.

Categorical clinical and demographic features were encoded using a one-hot encoding scheme, which created binary columns for each category. Numerical features, such as laboratory test results, were standardized using a standard scaler to bring the values within a consistent range (normalization). This was done to prevent varying feature scales from biasing the model's predictions, which could otherwise lead to higher misclassification errors and reduced accuracy.

Feature Selection:

Following initial feature selection based on clinical expertise, we employed multiple methods including (i) Statistical tests (T-test/ Mann–Whitney U test/ Chi-squared test) (ii) Pearson pairwise correlation, (iii) feature ranking through recursive feature elimination with logistic regression, and (iv) random forest classifiers, to identify the features most relevant to thrombosis development in COVID-19 patients. All the features included in the study (demographics, comorbidities, laboratory features) are presented in Table 2.

The T-test or Mann–Whitney U test was used to compare groups based on the distribution of the data. Pearson correlation measures the strength of the linear relationship between two variables, with Pearson's correlation coefficient quantifying this relationship for each feature with respect to the target label. In feature selection, pairwise correlation helps identify groups of highly correlated features, allowing the model to retain maximum predictive power while minimizing the number of features used. This approach enhances model efficiency and reduces redundancy. Recursive Feature Elimination (RFE) is an iterative process that fits a model, then removes the least important feature at each step until a specified number of features remain. RFE is a wrapper-type feature selection method because it relies on a machine learning algorithm at its core to rank and select features. This distinguishes it from filter-based methods, which independently score each feature and select those with the highest or lowest scores.

We applied logistic regression and random forest classifier algorithms with Recursive Feature Elimination (RFE). RFE starts by including all features from the training dataset, then iteratively removes the least important features until the desired number remains. This is done by fitting the chosen machine learning algorithm, ranking the features by importance, discarding the least important ones, and re-fitting the model. This process continues until the optimal subset of features is achieved.

Based on the common features identified through the feature selection methods (Table 3) and existing literature on COVID-19, we selected key features for the model training. These features included the presence of multi-organ failure, history of diabetes, liver disease, autoimmune disease, age, female gender, white ethnicity, levels of haemoglobin, white cell count, D-dimer, lactate dehydrogenase (LDH), and ferritin at the hospital admission.

Al Models:

We developed and compared seven binary classification AI models in predicting the risk of developing VTE in COVID-19 patients.

(i) Multi-layer Perceptron (MLP) Classifier:

This artificial neural network (ANN) model employs a feedforward architecture, linking input data to corresponding output values through multiple interconnected layers. Each layer is connected to the next, with neurons utilizing nonlinear activation functions, except in the input layer. The network may contain one or more nonlinear hidden layers positioned between the input and output layers to enhance learning and predictive capabilities.

(ii) ANN with backpropagation:

Backpropagation is a process used to update the weights and biases of a neural network by calculating the difference between the predicted output and the true output. The algorithm propagates this error backward through the network, from the output layer to the input layer,

adjusting the weights and biases of each neuron in the process to minimize the overall error and improve the model's performance.

- (iii) XGBoost: It is a boosting algorithm utilizing bagging, where multiple decision trees are trained independently, and their results are combined to improve overall performance.
- (iv) Support Vector Classifier (SVC): Is an implementation of the Support Vector Machine (SVM) algorithm and identifies the optimal hyperplane that maximally separates data points into distinct classes.
 - (v) Stochastic Gradient Descent (SGD) classifier:

This linear classification algorithm identifies the optimal decision boundary (hyperplane) to separate data points into different classes within a feature space. It works by iteratively adjusting the model's parameters to minimize a cost function using the stochastic gradient descent (SGD) optimization technique.

- (vi) Random Forest (RF) Classifier: This method generates multiple decision trees using random subsets of both the data and features. Each decision tree acts as an independent "expert," offering its classification of the data. Predictions are made by aggregating the outputs of all trees and selecting the most frequent (or popular) result as the final prediction.
- (vii) Logistic Regression (LR) Classifier: This algorithm estimates the probability of an input belonging to a specific class. Although it is a (generalized) linear method, it applies the logistic function to transform predictions, ensuring the output is a probability value between 0 and 1.

Cross Validation and Hyperparameter Tuning:

We applied 5-fold cross-validation (K=5) to evaluate model performance, ensuring each train/test split was large enough to be statistically representative of the entire dataset. In K-fold cross-validation, the data is divided into K equal subsets (folds). The model is trained K times, each time using K-1 folds for training and the remaining fold for testing. The results from each iteration are averaged to provide a comprehensive assessment of model performance. This method helps to reduce the risk of overfitting and gives a more reliable indication of how the model will generalize to unseen data.

Grid Search and Random Search were also utilised for hyperparameter tuning to identify the optimal parameters for each model, maximizing their predictive power. Tuning these hyperparameters was critical, as they significantly impacted model performance. After evaluating various combinations using the 5378 records out of 8027, stratified k fold cross validation in the hyperparameter tuning resulted in the optimum parameters for getting the best possible performance for each model (Table 4).

Performance measurements:

The validity and predictive power of the models were evaluated using a confusion matrix and key performance metrics such as accuracy, precision, recall, F1 score, Area Under the Receiver Operating Characteristic Curve (ROC AUC), and log loss.

Confusion matrix: provides a summary of prediction results by comparing actual versus predicted values, allowing for the calculation of important metrics like accuracy, precision, recall, and F1 score.

ROC AUC: measures the model's ability to distinguish between positive and negative cases, with a higher AUC indicating better classification performance.

Precision: is the proportion of predicted positive cases that are actually positive.

Recall: is the proportion of actual positive cases correctly identified by the model.

F1 Score: is the harmonic mean of precision and recall, offering a single measure of performance by balancing both metrics.

Log Loss: is a performance metric used in classification problems which reflects how close predicted probabilities are to actual values in binary classification, penalizing inaccurate predictions with higher values.

These metrics provide a comprehensive understanding of the model's overall performance and predictive accuracy.

Hyperparameter tuning was used with ROC AUC scoring as a metric to get best hyper parameters for the model and then used ROC curve functionality to derive an optimum threshold for each model. When establishing the threshold, experts' advice was taken on the threshold value matching for the binary classification problem that we try to resolve in these models (VTE is likely or not). Considering all these factors, we finalised the probability threshold at 0.4 which is an arbitrary threshold used for all the models. It is important to highlight that the arbitrary threshold will vary based on sensitivity and specificity of the prediction of VTE desired and what is the acceptable balance between risk of missing a VTE vs risk of causing bleeding by giving thromboprophylaxis

RESULTS:

Of the of 8,027 patients included in the study, 335 patients developed VTE (4.17%). We present the performance results of seven AI models, which were built using a dataset of 5378 patient records. Testing was conducted with 2649 patient records. The data split for training

and testing was done through a stratified shuffle split to prevent the imbalance in the distribution of classes in the training and testing datasets. As outlined earlier, the model development process included 5-fold cross-validation and hyperparameter tuning.

Among the models, the Support Vector Classifier (SVC) demonstrated the best performance in predicting thrombosis, achieving an accuracy of 97%. It was 100% accurate in predicting the absence of VTE (true negatives) and outperformed other models in correctly predicting thrombosis development (true positives). Additionally, the SVC model excelled in key metrics, with a precision of 0.98, recall of 0.97, F1 score of 0.97, and the lowest log-loss score of 0.112 on the test dataset, indicating superior model convergence and data fitting. It also achieved the highest ROC AUC score of 0.983, highlighting its excellent ability to distinguish between positive and negative cases.

Following the SVC, the MLP Classifier and ANN models showed a strong overall performance across metrics such as accuracy, precision, recall, F1 score and ROC AUC score, surpassing the other models in comparison. The MLP Classifier and Random Forest models followed the SVC model closely with a loss score of 0.157, demonstrating reasonable convergence and a relatively low level of error during training. The MLP Classifier followed the SVC model with an ROC AUC score of 0.685, demonstrating a good, though not as strong ability to differentiate between classes. Random Forest and XGBoost models also showed reasonably good ROC AUC scores (0.669 and 0.663 respectively). However, based on both the Loss and ROC AUC scores as well, the SVC model stands out as the top performer, showing strong convergence during training and exceptional discriminatory power. The Multi-layer Perceptron classifier, Random Forest, and SGD Classifier followed the SVC model closely with accuracy scores of 0.96 however among them, Multi-layer Perceptron classifier outperformed Random Forest and SGD Classifier when evaluated using F1 score, precision, and recall metrics with a value of 0.94.

Confusion matrices were created by selecting an arbitrary probability threshold of 0.4 for classifying VTE which are presented in Figure 1. The confusion matrices highlight true positives (sensitivity – correctly predicting patients who develop thrombosis), true negatives (specificity – correctly predicting patients who do not develop thrombosis), false positives (incorrectly predicting thrombosis development), and false negatives (incorrectly predicting no thrombosis development).

A summary of the results is provided in Tables 5 and 6 and Figures 1 and 2.

After evaluating all key metrics including accuracy, precision, recall, F1 score, Loss score, and ROC AUC score, we determined that the SVC model delivered the best overall performance in predicting development of VTE in COVID-19 patients, outperforming all other models.

DISCUSSION

In this study, by using Al algorithms and standard statistical analysis, we identified demographic factors (increasing age, being female, white ethnicity), comorbidities (diabetes, liver disease, autoimmune disease), and laboratory features (raised haemoglobin, white cell count, D-dimer, lactate dehydrogenase, ferritin) and presence of MOF, as major contributory factors for developing VTE in hospitalized COVID-19 patients. Using these features, we developed and compared seven AI models to predict the development of VTE in patients with COVID-19 and found that that the SVC model outperformed all other models, achieving an accuracy of 97%. Notably, it was 100% accurate in predicting the absence of thrombosis (true negatives) and surpassed other models in accurately predicting development of VTE (true positives). The SVC model also led in precision (0.98), recall (0.97), and F1 score (0.97), and recorded the lowest log-loss score (0.112 on the test dataset), reflecting better model convergence and an improved fit to the data. Additionally, it achieved the highest AUC score (0.983), a key metric for evaluating the model's ability to distinguish between positive and negative cases. A higher AUC score highlights its superior discriminatory power. Following the SVC, both MLP Classifier and ANN models also demonstrated strong overall performance, consistently outperforming other models across key metrics, including accuracy, precision, recall, F1 score, log-loss score, and AUC score. For example, the study on Individual-Level Fatality Prediction of COVID-19 Patients Using AI Methods reported accuracy and specificity just above 90% in its top-performing autoencoder model.

Our best-performing model (SVC) is an implementation of the Support Vector Machine algorithm, and it finds the hyperplane that best separates the data points into different classes. It achieved an accuracy of 97%, outperforming similar studies that developed deep learning and machine learning models on data related to COVID-19 patients. Since all patients included into the models received thromboprophylaxis with LMWH, the prediction models are not affected by this variable.

The use of AI to predict the development of VTE in hospitalized patients has been studied prior to the COVID-19 pandemic. A study by Ryan, et al, used machine learning to predict DVT among hospitalized patients[5]. The study included a total of 99,237 patients and of these patients, 2,378 experienced DVT during their hospital stay. It developed and validated a gradient gradient-boosted learning algorithm to predict a patient's risk of developing DVT at 12- and 24-hour windows prior to onset, enhancing clinicians' ability to identify and monitor high-risk patients. It used features such as cancer history, VTE history, and international normalized ratio (INR) for building the and the machine learning predictors obtained AUROCs

of 0.83 and 0.85 for DVT risk prediction on hospitalized patients at 12- and 24-hour windows, respectively. Similar to our study, DVT prediction in COVID-19 patients developed by Zhang et al.,[6] which included a smaller number of patients (only 424) also incorporated features such as age, gender, BMI, PT, INR, CRP, TNF, IFN with model achieving an accuracy of 91.02% and a sensitivity of 91.07%.

The main strengths of this study are the use of a large number of patients to develop the model, data accuracy, as the data was collected by clinicians with appropriate clinical knowledge, and representation of the overall patient population in the UK, as the data was collected from 26 NHS Trusts in England, Wales, and Scotland during a specific period. In addition, the study used 7 models to find the best predictive model.

As the data used for the development of AI models was obtained from patients with COVID-19 admitted to hospitals in early 2020, during the peak of the pandemic when thrombosis and severe illness due to COVID-19 were much higher, these models will no longer be useful in predicting VTE in patients with COVID-19 in clinical practice at present as the disease is milder and the risk of VTE much lower. Although our models do not help in the clinical practice for COVID-19 at present, the methodology of this study (i.e. data cleaning, AI-driven data imputation, feature selection, model building, cross-validation, hyperparameter tuning, and testing) can be used as a framework for the future disease prediction models. This cross-disease applicability is possible due to shared data patterns, underlying biological mechanisms, shared risk factors for disease outcomes (for example some of the patient factors associated with increased risk VTE) and adaptability of the AI algorithms. Furthermore, the lack of validation using an external dataset is a limitation of the study as there is a possibility that our best performing SVC model may be overfitted to the data.

In conclusion, we developed and compared seven AI models for predicting the development of VTE in hospitalized COVID-19 patients using patient demographics, comorbidities, and on-admission laboratory data. Our best-performing model achieved an accuracy of 97%, outperforming similar studies that developed deep learning and machine learning models on data related to COVID-19 patients.. In addition to the outstanding performance of the developed models, the unique contribution of this study is the specific focus on predicting VTE in COVID-19 patients, a clinical outcome not addressed by other AI-driven COVID-19 studies in the published literature, particularly using a dataset of this size. Although the models cannot be used to predict VTE in patients admitted to hospitals with COVID-19 in current clinical practice due to the milder form of the disease and lower risk of VTE, techniques used in this study can be repurposed for other clinical conditions.

Author contributions

IR conceptualized the study and was involved in Visualization, Methodology, Formal analysis, and writing the original draft of the manuscript. MHA conceptualized the study and was involved in the visualization, Methodology, Formal analysis, supervision and editing of the manuscript. MM and ML were involved in data collection, interpretation of data and reviewing the manuscript. YL was involved in the interpretation of the data, supervision, and editing the manuscript. DJA conceptualized the study including the original CA-COVID study and acquired the funding for the study, validation, and data curation, visualization of data supervision, and editing of the manuscript.

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Conflict interest

DJA received funding from Bayer plc to setup the multicentre database of the original CA-COVID study as an investigator-initiated funding and received research grant from

Leo Pharma outside of this study. ML received consultation and speaker fees from Astrazeneca, Sobi, Leo-Pharma, Takeda and Pfizer.

Data availability and Analytical Code Sharing:

The data and analytical code relevant to this article will be shared on reasonable request to the corresponding author.

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Aneurin Bevan University Health Board: Amanda Dell, Angela Hall, Anna Roynon, Anne Heron, Cheri Price, Claire Price, Clare Westacott, Debra Barnett, Gail Marshall, Gemma Hodkinson, Georgia Mallison, Grace Okoro, Joshua Gwatkin, Kirstin Davies, Lucy Shipp, Maxine Nash, Rhian Hughes, Rina Mardania, Sarah Lewis Sean Cutler; Aberdeen Royal Infirmary: Caroline Allan; Barts Health NHS Trust: Atiqa Miah, Dide Okaygun, Dan Hart, Faith Dzumbunu, James Leveson, Karen Torre, Louise Taylor, Priyanka Raheja, Sara Mamsa, Tasnima Ferdousi; Buckinghamshire Healthcare NHS Trust; Angharad Everden, Alice Ngumo, Doaa Ahmed, Efstathia Venizelou, James Herdman, Janice Carpenter, Konrad Bartkiewicz, Rebecca Cash Renu Riat; Cardiff and Vale University Health Board: Abigail Downing, Ana Guerrero, Astrid Etherington, Chapa Gamage, Dilupa Gunasekara, Lee Morris, Raza Alikhan, Rebecca Cloudsdale, Samya Obaji, Stuart Cunningham, Sylvain Ndjombo; County Durham and Darlington NHS Foundation Trust: Amanda Cowton, Ami Wilkinson, Andrea Kay, Anne Sebakungu, Anne Thomson, Clare Brady, Dawn Egginton, Ellen Brown, Enid Wright, Gill Rogers, Hannah Plaschkes, Jacqui Jennings, Julie O'Brien, Julie Temple, Kathryn Potts, Kimberly Stamp, Kelly Postlethwaite, Louise Duncan, Margaret Randall, Mark Birt, Melanie Kent, Philip Mounter, Shelly Wood, Nicola Hewitson, Noreen Kingston, Susan Wadd, Sarah McAuliffe, Stefanie Hobson, Susan Riley, Suzanne Naylor, Vicki Atkinson; Cwm Taf Morgannwg University Health Board: Alysha Hancock, Bethan Deacon, Carla Pothecary, Caroline Hamilton, Ceri Lynch, Cerys Evenden, Deborah Jones, Ellie Davies, Felicity Page, Gareth Kennard-Holden, Gavin John, Joanne Pugh, Joelle Pike, Justyna Mikusek, Kevin Agravante, Kia Hancock, Lauren Geen, Meryl Rees, Natalie Stroud; Gateshead Health NHS Foundation Trust: Amanda Grahamslaw, Amanda Sanderson, Beverley McClelland, Caitlin Barry, Elaine Siddle, Lorraine Pearce, Lucy Blackwell, Maria Bokhari, Maureen Armstrong, Wendy Stoker,

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Agnes Eordogh, Alexandros Rampotas, Anna Maria Sanigorska, Christopher Deane, Kristine Santos, Olivia Lecocq, Rochelle Lay, Simon Fletcher, not Susie Shapiro; Royal Free London NHS Foundation Trust: Anna Tarnakina, Aniqa Tasnim, Anja Drebes, Cecilia Garcia, Elsa Aradom, Mariarita Peralta, Michaella Tomlin, Pratima Chowdary, Ramona Georgescu, Suluma Mohamed, Upuli Dissanayake; Royal Liverpool and Broadgreen University Hospitals NHS Trust: Carol Powell, James Doolan, Jessica Kenworthy, Joanne Bell, Lewis Jones, Mikiko Wilkinson, Rebecca Shaw, Ryan Robinson, Saman Mukhtar, Shane D'Souza, Tina Dutt, Tracy Stocks; Royal Papworth Hospital NHS Foundation Trust: Joshua Wade, Lenka Cagova, Maksym Kovzel, Rachel Jooste; Sheffield Teaching Hospitals NHS Foundation Trust: Alison Delaney, Claire Mapplebeck; South Tees NHS Foundation Trust: Alycon Walker, Andrea Watson, Andrew Vaux, Asia Sawar, Carol Hannaway, Charlotte Jacobs, Claire Elliot, Claire Elliott, Craig Mower, Daiana Ferro, Emanuela Mahmoud, Gill Laidlaw, Julie Potts, Keith Harland, Laura Munglani, Lauren Fall, Leanne Murray, Lesley Harris, Lisa Wayman, Lisa Westwood, Louisa Watson, Lynne Naylor, Matthew Siddaway, Paula Robson, Rita Mohan, Sarah Essex, Sara Griffiths, Steven Liggett; University Hospital Southampton NHS Foundation Trust: Andreia Valente, Rashid Kazmi, Ruth Kirby, Sarah Bowmer, Yanli Li; University Hospitals Birmingham NHS Foundation Trust: Alice Longe, Amy Bamford, Anand Lokare, Andrew McDarby, Aneta Drozd, Cathy Stretton, Catia Mulvihill, Charlotte Ferris, Christopher McGhee, Claire McNeill, Colin Bergin. Daniella Lynch, Fionnuala Lenehan, Gerry Gilleran, Gillian Lowe, Graham McIlroy, Helen Jenner, Helen Shackleford, Isma Younis, Jaspret Gill, Jimmy Musngi, Joanne Dasgin, Joanne Gresty, Joseph Nyaboko, Juneka Begum, Katerine Festejo, Katherine Lucas, Katie Price, Khushpreet Bhandal, Kristina Gallagher, Kyriaki Tsakiridou, Lauren Cooper, Louise Wood, Lulu Amutike, Marie Thomas, Marwan Kwok, Melanie Kelly, Michelle Bates, Nafeesah Ahmad Haider, Nicholas Adams, Oliver Topping, Rachel Smith, Rani Maria Joseph, Salma Kadiri, Samantha Caddick, Samuel Harrison, Shereef Elmoamly, Stavroula Chante, Sumaiyyah Gauhar, Syed Ashraf, Tabinda Kharodia, Zhane Peterkin; University Hospitals of Leicester NHS Trust: Isgro Graziella, Hakeem Yusuff; University Hospitals of North Midlands NHS Trust: David Sutton, Ian Massey, Jade Di-Silvestro, Joanne Hiden, Mia Johnson, Richard Buka; University Hospitals Plymouth NHS Trust: Claire Lentaigne, Jackie Wooding, Nicola Crosbie; Whittington Health NHS Trust: Ana Alvaro, Emma Drasar, Elen Roblin, Georgina

Santiapillai, Kathryn Simpson, Kayleigh Gilbert, Yanrong Jiang, Zara Sayar, Zehraa Al-Khafaji

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Legends to Tables and Figures:

- Table 1: Features used to identify the significant factors for the model development
- Table 2: Demographics, clinical characteristics and laboratory features of the 8027 COVID patients included in the study
- Table 3: Significant features for developing thrombosis clinical outcome of COVID-19 patients.
- Table 4: Optimum values for each model parameter after performing hyperparameter tuning
- Table 5: Performance evaluation based on accuracy, f1 score, precision, and recall
- Table 6: Performance evaluation based on log loss and auc score
- Figure 1: Confusion matrices for each classifier with a test dataset of 2649 patient records
- Figure 2: ROC diagrams for each classifier

Table 1:

Demographics Features	Comorbidities and multi-	Laboratory Results
	organ failure	
Gender (Male/Female)	Multi-Organ Failure	Haemoglobin
Ethnicity	History of Smoking	Platelets
(White/Asian/Black)		
Age (Years)	History of liver disease	D-dimer
Age Groups - Years (18 to	History of lung disease	White Cell Count
29, 30 to 49, 50 to 69, 70 to		<u>C</u>
89, over 90)		
BMI groups - kg/m2 (0 to	History of diabetes	Neutrophils
18.5, 18.6 to 24.9, 25 to 29.9,		
30 to 39.9, over 40)		
	History of heart disease	Lymphocytes
	History of	Fibrinogen
	hypercholesterolemia	
	History of hypertension	Alanine transferase (ALT)
	History of malignancy	Bilirubin
	History of autoimmune	Creatinine
	disease	
	History of bleeding disorder	C-reactive protein
		Lactate dehydrogenase
		Troponin I
		Ferritin
		Prothrombin time
		Activated partial
		thromboplastin time
		Lactate

Table 2:

		Total n = 8027	Percentage
Gender	Male	4403	55%
	Female	3624	45%
Age (Years)	18-29	207	3%
	30-49	991	12%
	50-69	2237	28%
	70-89	3864	48%
	>90	728	9%
Ethnicity	White	5811	72%
	Black	313	4%
	Asian	428	5%
	Other	1475	19%
Body Mass Index (kg/m2)	<18.5	215	3%
	18.6 – 24.9	979	12%
	25.0 – 29.9	5596	69%
	30 - 39.9	1007	13%
	>40.0	230	3%
History of Liver Disease	Yes	295	4%
	No	7732	96%
History of Lung Disease	Yes	1964	24%
	No	6063	76%
History of Diabetes	Yes	2256	28%
	No	5771	72%
History of Heart Disease	Yes	1837	23%
	No	6190	77%
History of	Yes	1265	16%
Hypercholesterolemia	No	6762	84%
History of Hypertension	Yes	3740	47%
	No	4287	53%
History of Malignancy	Yes	873	11%
	No	7154	89%

History of Autoimmune	Yes	604	8%	
disease	No	7423	92%	
History of Bleeding	Yes	59	1%	
Disorders	No	7968	99%	
	Laboratory features			
Laboratory Results	Median	Inter Quartile	Reference Range	
Haemoglobin (g/L)	130	114 -143	130 – 160	
	110*	98 - 134*	(*115 –150)*	
Platelets (10 ⁹ /L)	220	168 - 289	150 - 400	
D-dimer (ng/mL)	1077	585 - 2851	0 - 500	
White Cell Count (10 ⁹ /L)	7.68	5.5 - 7.8	4.1 – 11.1	
Neutrophils (10 ⁹ /L)	5.89	3.9 - 8.8	2.1 – 6.7	
Lymphocytes (10 ⁹ /L)	0.9	0.6 - 1.3	1.3 – 3.7	
Fibrinogen (g/L)	5.6	4.3 - 6.8	1.5 – 4.5	

17 - 43

7 - 14

8 - 40

0 - 20

Bilirubin (μmol/L)

Alanine transferase (IU/L)

26

10

^{*}Female haemoglobin

Feature Selection Method	Significant features	
Statistical tests (T-test/ Mann-	Multi Organ Failure(p<0.001), White Ethnicity	
Whitney U test/ Chi-squared test)	(p<0.014), History of diabetes (p<0.019), History of	
	autoimmune disease (p<0.038), Haemoglobin	
	(p<0.041), White cell count (p<0.044)	
Pearson pairwise feature	Multi-organ failure, Major bleeding, White Ethnicity,	
correlation	History of diabetes, History of autoimmune disease,	
	Haemoglobin, White cell count, Age (Years)	
Feature ranking with recursive	Multi-organ failure, Major bleeding, Black Ethnicity,	
feature elimination (Logistic	Age (Years), History of liver disease, White Ethnicity,	
Regression)	History of autoimmune disease, History of diabetes,	
	Gender Female	
Feature ranking with recursive	Raised levels of D-dimer, lactate dehydrogenase	
feature elimination (Random	Ferritin, White cell count, Creatinine, Activated partial	
Forest Regressor)	thromboplastin time	

Table 4:

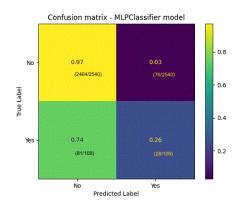
Model	Modified hyperparameters and extra details
MLPClassifier	Activation = relu, Hidden layer sizes = (100, 1), Learning rate = constant
(Multi-layer	
Perceptron	
classifier)	
ANN(Artificial	keras sequential API with optimizer function = adam, number of times to
Neural	run the model = 10 and compilation with binary cross entropy loss function
Network)	and rectified linear unit and sigmoid activation functions
XGBoost	Learning rate = 0.01, maximum tree depth = 3, and minimum child weight
	= 1
SVC (Support	Strength of the regularization (C) = 3.4067, gamma=0.331, probability
Vector	estimates = True
Classifier)	
SGDClassifier	Elastic Net mixing parameter (11_ratio) = 0.05
(Stochastic	loss=log, penalty (regularization term) = elasticnet
Gradient	
Descent	
Classifier)	
Random Forest	Minimum number of samples at a leaf node = 5, Maximum depth of the
	tree = 4, Function to measure the quality of a split = entropy
Logistic	Inverse of regularization strength (C) = 10
Regression	

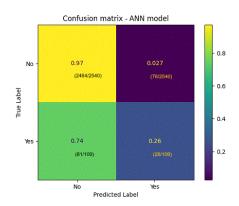
Model	Accurac	Precision	Recall	F1 Score
	y	(macro/weighted)	(macro/weighted)	(macro/weight
				ed)
MLPClassifier	0.96	0.62/0.94	0.61/0.94	0.62/0.94
(Multi-layer				
Perceptron				
classifier)				
ANN (Artificial	0.94	0.63/0.94	0.62/0.94	0.62/0.94
Neural Network)				
XGBoost	0.93	0.59/0.94	0.60/0.93	0.60/0.93
SVC (Support	0.97	0.99/0.98	0.69/0.97	0.77/0.97
Vector Classifier)			N	
SGDClassifier	0.96	0.98/0.96	0.51/0.96	0.51/0.94
(Stochastic				
Gradient Descent				
Classifier)				
Random Forest	0.96	0.71/0.94	0.54/0.96	0.56/0.94
Logistic	0.95	0.63/0.93	0.53/0.96	0.54/0.94
Regression	0			

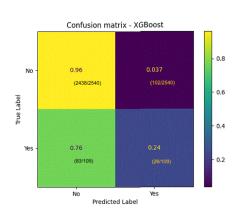
Model	Log Loss (training data/test	ROC AUC (training data/test	
	data)	data)	
MLPClassifier	0.153/0.157	0.732/0.685	
(Multi-layer Perceptron			
classifier)			
ANN (Artificial Neural	0.160/0.157	0.64/0.63	
Network)			
XGBoost (eXtreme	0.118/0.162	0.93/0.663	
Gradient Boosting		_0,	
SVC (Support Vector	0.110/0.112	0.984/0.983	
Classifier)			
SGDClassifier	1.412/1.361	0.628/0.608	
(Stochastic Gradient			
Descent Classifier)			
Random Forest	0.155/0.157	0.767/0.669	
Logistic Regression	0.158/0.158	0.682/0.655	

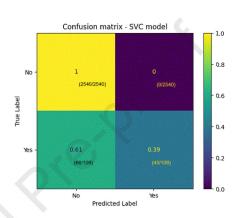
Journal Preside

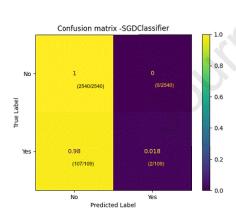
Journal Pre-proof

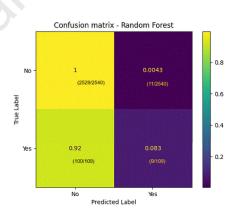












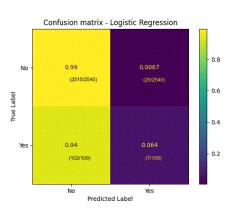


Figure 2

