

Nanoindentation and nano-scratch studies on cement paste containing short MWCNTs

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Abstract

Carbon nanotubes (CNTs) are an attractive reinforcement material for several composites. This is due to their inherently high tensile strength and high modulus of elasticity. This study looked at the nanomechanical characteristics of cement paste with and without short multi-walled carbon nanotubes (MWCNTs). The objective behind studying the nanomechanical properties of cement paste is to better understand the fundamental behaviour of cement at the nanoscale level. Cement paste is a complex material that consists of various phases, including cement hydrates, un-hydrated cement particles, and porosity. By studying the mechanical properties of cement paste at the nanoscale, researchers can gain insights into the mechanisms that govern the behaviour of this material. Following earlier tests, the amount of MWCNTs was kept constant (0.30% by weight of cement). Nanomechanical parameters explored include localised Young's modulus and hardness. According to test results, short MWCNTs increased the proportion of high-density calcium silicate hydrate in cement paste. The nanomechanical properties (localised Young's modulus and hardness) of cement paste with short MWCNTs was found to be greater than that of cement paste without MWCNTs. According to nano-scratching experiments the cement matrix with short MWCNTs is substantially more durable than the matrix without them.

Keywords: Nanoindentation; nano-scratch; short multi-walled carbon nanotubes; elastic modulus, hardness

33 **1. Introduction**

34 The brittleness of cement composites is high. The fundamental reason for this is composites'
35 poor tensile strength (Chen et al., 2011). Researchers have tried a range of materials to
36 improve the tensile strength of cement composites (Fischer and Li, 2007; Savastano et al.,
37 2005; Wang et al., 2008). Such materials include glass, steel, carbon and fibres. In the
38 literature, carbon nanotubes (CNTs) have also been employed as a reinforcing material (Chen
39 et al., 2003; Esawia et al., 2009; Lau and Hui, 2002; Lee et al., 2007; Wei et al., 2008; Zhu
40 et al., 2008). These are allotropes of carbon with a cylindrical nanostructure.

41
42 SWCNTs (single-walled carbon nanotubes) and MWCNTs (multi-walled carbon nanotubes)
43 are two forms of carbon nanotubes. MWCNTs are composed of nesting graphene arrays,
44 whereas SWCNTs are made of a single sheet of graphene rolling into a long hollow cone. It
45 has been observed that the porosity and pore size distribution decreases when MWCNTs are
46 used in cement composites (Wang et al., 2014). MWCNTs also have a considerable impact
47 on the microstructure of high-performance mortar (Sahranavard et al., 2014).

48
49 MWCNTs are said to affect the cement hydration (Li et al., 2015). As MWCNTs provide
50 more calcium silicate hydrate (CSH) gel sites, the use MWCNTs produces a high-strength
51 cement paste with a dense matrix. However, the dispersion of MWCNTs within cementitious
52 composites is a major concern. Nevertheless, a tiny amount of MWCNTs addition to concrete
53 considerably improves their mechanical strength and fracture behaviour (Gillani et al., 2017).
54 The need of proper MWCNTs dispersion in the cement matrix is emphasised even further in
55 this study. MWCNTs come in a variety of lengths. Short MWCNTs have low aspect ratios
56 (150-400), corresponding to 0.5-2 μm lengths and outer diameters ranging from 7 nm to 80
57 nm, whereas long MWCNTs have high aspect ratios (1000-2000). The distribution of short
58 MWCNTs is much uniform than that of long MWCNTs. This allows them to effectively
59 occupy nanopore space within the cement matrix (Abu Al-Rub et al., 2012).

60
61 Hawreen and Bogas (2019) concluded that concrete with functionalised CNTs exhibit similar
62 behaviour to that with pristine CNTs of equal aspect ratio. The dynamic mechanical
63 properties of cementitious composites with different sizes such as thick-long, thick-short,

64 thin–long, thin–short, large inner tall-wall, helical, nickel-coated, graphitised MWCNTs was
65 also analysed by researchers (Wang et al., 2020). The cement pastes with thick–short
66 MWCNTs showed the highest impact toughness with 100.8% increase over the plain
67 cementitious composites, while the samples with thin–long MWCNTs exhibited a 77.7%
68 improvement to impact energy dissipation. In other study, the effect of carbon nanotube
69 purity on properties of cement paste containing 1% MWCNTs by weight of binder was
70 evaluated (Yoo et al., 2018). The effect and mechanism of functionalised MWCNTs on CSH
71 gel was evaluated using Scanning Electron Microscopy with Energy Dispersive X-Ray
72 analysis by Li et al. (2020). The authors concluded that, when preparing cement paste with
73 MWCNTs, these should be combined with functional groups to improve CSH content. The
74 functional groups here refer to the hydrophilic functional groups on the surface of the
75 MWCNTs through chemical reactions such as acidification and oxidation. These hydrophilic
76 functional groups can improve the dispersion degree of the MWCNTs in aqueous solution.

77

78 Cui et al. (2017) analysed the effects of 12 types of MWCNTs and dosage levels (0.1–0.8%
79 replacing cement by weight) on mechanical properties. The authors found that short, pristine,
80 large-diameter MWCNTs show the best performance in terms of the strength of cement
81 pastes. The authors also illustrated that MWCNTs with hydroxyl groups show better
82 performance on the strength of cement paste compared to the MWCNTs with carboxyl
83 groups. Hawreen et al. (2018) investigated the effects of different types of carbon nanotubes
84 on mechanical strength, ultrasonic pulse velocity and fracture toughness of cement paste.
85 There was an increase in the flexural strength and fracture energy up to 33% and 65% with
86 the addition of 0.05–0.1% of carbon nanotubes, respectively. Arrechea et al. (2020) prepared
87 MWCNT reinforced cement mortar with three types of carbon nanotube: pristine,
88 carboxylated-functionalised and thiazol-functionalised. The authors used different weight
89 ratios of MWCNTs to produce cement mortars (0.01–0.05% w/w) with polycarboxylate-
90 based super-plasticiser. It was observed that there was an improvement in compressive
91 strength by 5.3% with 0.01% of MWCNTs.

92

93 Nanoindentation is a technique for assessing the local mechanical properties of cement-based
94 materials quantitatively (Mondal et al., 2007). It is the latest technology that enables

95 researchers to measure mechanical properties such as modulus and hardness of materials in
96 different shapes, sizes and scales. Most notably, this technique does not need any sample
97 preparation and can measure properties for various materials ranging from hard superalloys
98 to soft biomaterials within seconds making it the fastest technique for such measurements. It
99 is a significant development over regular uniaxial tensile and shear testing methods that take
100 days from samples preparation to final results. In nanoindentation contact between the
101 substrate (the sample) and an indenter with predetermined features and form can be used to
102 ascertain the substrate's elastic and hardness properties. To carry out the experiment more
103 precisely, the 'grid' indentation approach, also known as the 'statistical nanoindentation
104 system' (SNI), has been used by various researchers (Davydov et al., 2011; Sorelli et al.,
105 2008; Vandamme et al., 2010). Nanoscratch tests, on the other hand, are commonly
106 employed in coatings and bulk materials to assess adhesion and wear efficiency in a
107 transparent, flexible and timely manner (Adams et al., 2001; ; Graca et al., 2008; Shen et al.,
108 2006). The ideal amount of MWCNTs in cement composites is 0.08% (Wang et al., 2008).
109 It is estimated that it can be used up to 0.50% (Kumar et al., 2012). This study investigates
110 the nanomechanical characteristics of cement paste with 0.30% (by weight of cement) short
111 MWCNTs.

112
113 Nanoindentation and nano-scratch studies are valuable techniques in the characterization of
114 the mechanical properties of materials, particularly at the nanoscale level. These techniques
115 allow for the measurement of key mechanical properties such as hardness, elastic modulus,
116 and friction. When applied to cement paste, these techniques provide a valuable insight into
117 the effect of adding MWCNTs to the material.

118
119 The addition of MWCNTs to cement paste has been shown to improve its mechanical
120 properties. However, the mechanism by which this occurs is not fully understood.
121 Nanoindentation studies have been used to investigate the effect of MWCNTs on the
122 hardness and elastic modulus of cement paste. These studies have shown that the addition of
123 MWCNTs increases the hardness and elastic modulus of cement paste, indicating an
124 improvement in its mechanical strength. In addition to nanoindentation studies, nano-scratch
125 studies have also been used to investigate the effect of MWCNTs on the frictional properties

126 of cement paste. These studies have shown that the addition of MWCNTs reduces the
127 coefficient of friction of cement paste, indicating an improvement in its wear resistance.

128
129 The significance of these studies lies in the fact that they provide valuable insights into the
130 effect of adding MWCNTs to cement paste, which can be used to develop more effective
131 cement composites. These studies also provide a better understanding of the mechanisms by
132 which MWCNTs improve the mechanical properties of cement paste, which can inform the
133 development of new and improved cement composites.

134

135 **2. Experimental programme**

136 **2.1 Materials**

137 In this experiment, a general-purpose grey Portland Cement was used. Cockburn Cement in
138 Western Australia supplied the cement, which was approved to AS 3972: 2010 for general
139 purpose and mixed cements. Table 1 shows the chemical composition of cement. US
140 Research Nanomaterials, Inc. provided the short MWCNTs used in the investigations. They
141 were made using chemical vapour discharge (CVD). Table 2 lists the characteristics of short
142 MWCNTs. The water used was normal tap water.

143

144 **Table 1: Chemical composition of cement (oxide %)**

145

SiO ₂	20.95
Al ₂ O ₃	4.72
Fe ₂ O ₃	3.00
CaO	62.85
SO ₃	2.6
MgO	2.2
Chloride	0.02
Na ₂ O Equivalent	0.40
LOI	2.0

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Table 2: Properties of short MWCNTs

Purity	> 95%
Length	0.5-2 μm
Outside diameter	50-80 nm
Inside diameter	5-15 nm
True density	2.1 g/cm^3
Specific surface area	40 m^2/g

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157 **2.2 Sample preparation**

158 The first sample was totally formed of OPC, while the second was made of short MWCNTs
159 (0.30% by wt. of cement). Both cement pastes had a 0.35 water-to-cement ratio. A
160 polycarboxylate-based superplasticiser disperses CNTs effectively while having no influence
161 on hydration time, according to Yazdanbakhsh et al., (2009). According to research, the
162 dispersion process consumes 75% of the polycarboxylate-based superplasticizer (used in
163 MWCNTs samples), while the remaining 25% increases the workability of cement paste
164 (Abu Al-Rub et al., 2012). Therefore, 0.10% and 0.40% (by weight of cement)
165 polycarboxylate-based superplasticizers were added to samples of plain cement paste and
166 cement paste containing short MWCNTs, respectively.

167

168 For nanoindentation testing, small cube samples (10×10×10 mm) were made. All cast
169 samples were held for 24 hours in a temperature-controlled curing chamber at a constant
170 temperature of 23(±1)⁰C before being demoulded. Before being placed in the curing room,
171 the samples were bathed in lime-saturated water. On the 7th, 28th, and 56th days, flexural
172 strength tests were done. After the flexural strength tests, small flat broken fragments were
173 collected for use in the SEM. The materials for nanoindentation testing were ground and
174 polished on the 28th day with a grinder-polisher machine. In four steps, the roughness of 240,
175 360, 800 and 1200 grit diamond carbide paper was reduced: 52.2, 35.0, 21.8 and 15.3 μm ,
176 respectively. After that, the samples were polished using a polycrystalline diamond
177 suspension with different roughness levels: 9, 6, 3, 1, 0.25 and 0.1 μm . Each particle size was
178 polished for 5 minutes with a 20 N applied force, with the exception of the 9 μm , which was
179 polished for 10 minutes.

180 **2.3 Test methods**

181 **2.3.1 Nanoindentation**

182 To create local deformation in nanoindentation testing, a controlled force of 1mN was applied
183 to material surfaces. The lower elastic modulus was calculated using well-known equations
184 (Eqs. 2–5) based on elastic contact theory principles (Fischer-Cripps, 2006). Throughout the
185 test, the applied load and associated displacement were continually measured. A typical load-
186 displacement curve was created as a consequence (Fig. 1). The contact stiffness (S), which
187 is determined by the initial slope of the unloading curve, is given by:

188
$$S = \frac{dP}{dh} \quad (2)$$

189 where

190 P : indentation load

191 h : indentation depth

192

193 A Power Law equation, as illustrated below, fits the beginning portion of the unloading
194 curve:

195
$$S = \frac{2\beta}{\sqrt{\pi}} \left(\frac{1}{E_r}\right)^{-1} \sqrt{A_c} \quad (3)$$

196

197 The relationship between E_r and modulus of the sample (E) and elastic modulus of the
198 indenter (E_i) is:

199
$$\frac{1}{E_r} = \frac{1-\nu^2}{E} + \frac{1-\nu_i^2}{E_i} \quad (4)$$

200 where

201 ν is the Poisson's ratios of sample

202 ν_i is the Poisson's ratios of indenter

203 $E_i = 1140\text{G Pa}$, $\nu_i = 0.07$ for Berkovich indenter

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205 So, the reduced elastic modulus, E_r is:

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$$E_r = \frac{\sqrt{\pi}}{2\beta} \frac{S}{\sqrt{A_c}} \quad (5)$$

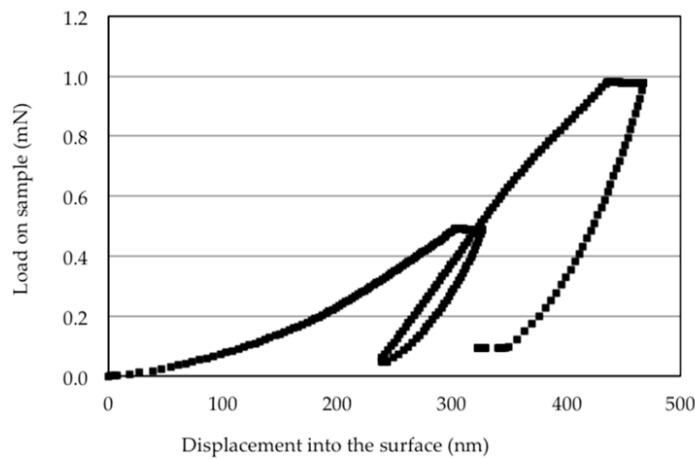
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208 A Berkovich indenter tip and an Agilent Nano Indenter® G200 nanoindentation equipment

209 were employed in this study. Indentation testing on a fused quartz standard specimen yielded
210 the calibrated contact area function. In each study, the loading began when the indenter made
211 contact with the test surface. Before being discharged, the load was held at its maximum
212 value for 30 seconds. Using unloading data for the lower indentation depth (i.e., $h_p=300-400$
213 nm), the reduced modulus at the indentation point was determined. The information was
214 gathered from 8 different locations, each with 40 indents (10×4 grid), for a total of 320
215 indents. The distance between indentations was fixed to $20 \mu\text{m}$. After that, a frequency
216 histogram was created utilising statistical analysis of the data.

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220

Fig. 1: Typical load-displacement curve

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222 2.3.2 Nano-scratch

223 The indenter tip made contact with the specimen surface to conduct nano-scratch testing.
224 With a force of 5 N and a displacement rate of 2 m/s, the Berkovich tip was used to perform
225 pre- and post-scratch scans of the surface. 5 spots per metre were scanned in order to gather
226 information. The indentation tip scratched a 100 m length at each target location while
227 scanning the approach and separation zones of the scratch site every 20 metres. A maximum
228 force of 50 mN and a speed of 2 m/s were applied perpendicular to the plane of the sample
229 faces during the scratching process.

230

231 **3. Results and Discussion**

232 **3.1 Nanoindentation**

233 The findings from cement paste with/without short MWCNTs appear to be supported by data
234 obtained from nanoindentation in the literature (Table 3). The elastic modulus of common
235 cement pastes has been divided into five distinct phases (Acker, 2001; Constantinides and
236 Ulm, 2004; Velez et al., 2001). The phases are: porous, low-density CSH, high-density CSH,
237 calcium hydroxide and clinker. The ranges of elastic modulus of these phases are: porous (0–
238 10 GPa), low density CSH (10–25 GPa), high density CSH (25– 35GPa), calcium hydroxide
239 (35– 45GPa) and clinker (> 45 GPa).

240
241 Figures 2 and 3 show the elastic modulus frequency graphs for cement pastes with and
242 without short MWCNTs. Values greater than 45 GPa are removed from the charts (which
243 correspond to clinker stages). Both pictures show a wide range of distribution patterns,
244 suggesting that the mechanical behaviour of both cement pastes varies depending on location.
245 Figures 2 and 3 depict the mean peak of the elastic frequency plot for plain cement paste in
246 the low-density CSH gel area (10-25 GPa). The high-density CSH gel area (25-30 GPa)
247 became more apparent when short MWCNTs were added.

248

249 **Table 3: Values of elastic modulus from literatures (mean ± SD)**

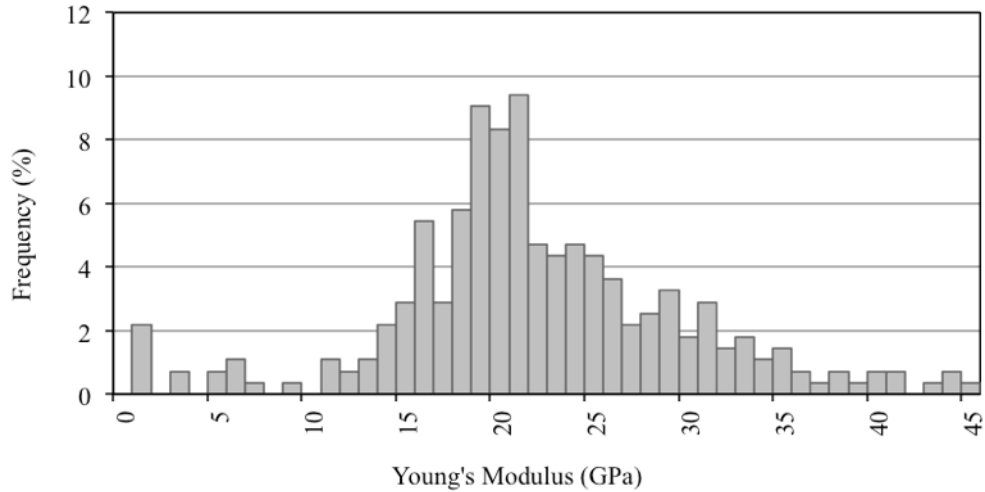
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Phase	Elastic modulus (GPa)	Reference
Porous	9.2 ± 2.4	Wang et al., 2020
	19.7 ± 2.5	Wang et al., 2020
Low-density CSH	21.7 ± 2.2	Wang et al., 2013
	22.5 ± 5.0	Yoo et al., 2018
	29.4 ± 2.4	Wang et al., 2013
High-density CSH	30.4 ± 2.9	Yoo et al., 2018
	34.2 ± 5.0	Wang et al., 2020
	36.2 ± 3.1	Shen et al., 2006
Calcium hydroxide	36.2 ± 3.1	Shen et al., 2006
Clinker	126 ± 24	Graca et al., 2008

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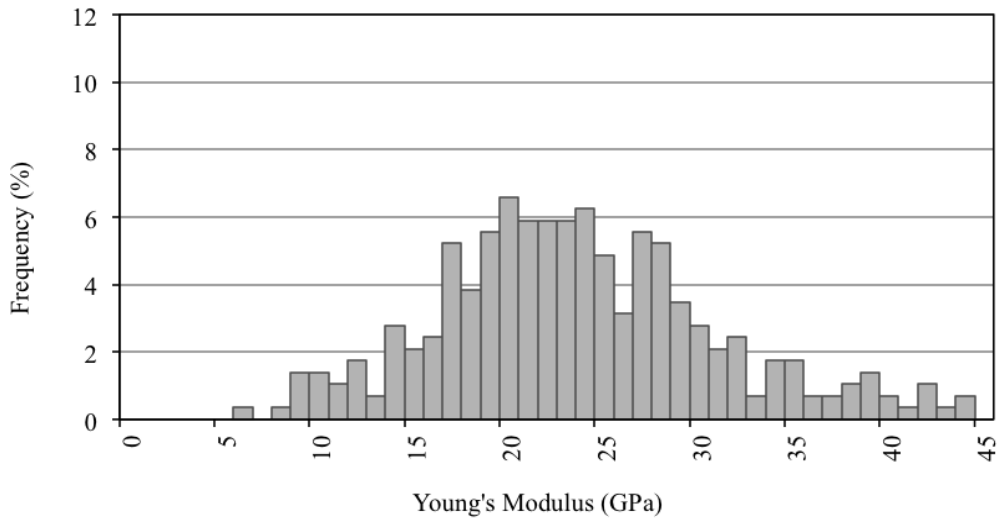
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Fig. 2: Probability Frequency Distribution of elastic modulus (plain cement paste)



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Fig. 3: Probability Frequency Distribution of elastic modulus (cement paste with short MWCNTs)

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261 For eight different samples, Figure 4 shows bar charts of the elastic moduli of cement pastes

262 with and without short MWCNTs. The elastic modulus of each bar is determined by

263 averaging 320 measurements of nanoindentation point modulus. The typical range is 19.5 to

264 34.0 GPa. When compared to the other samples, the cement paste reinforced with short

265 MWCNTs has a larger elastic modulus, as can be seen in the image, indicating that it is stiffer.

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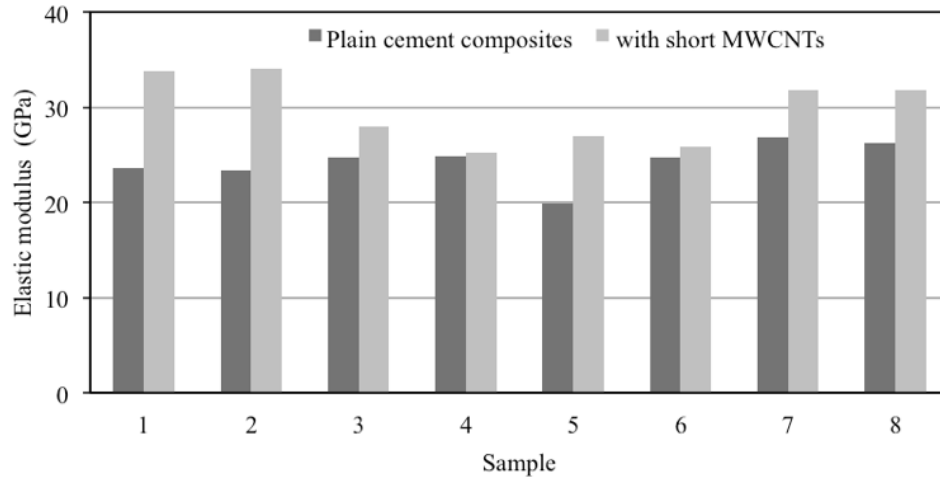


Fig. 4: Elastic modulus for 8 different samples

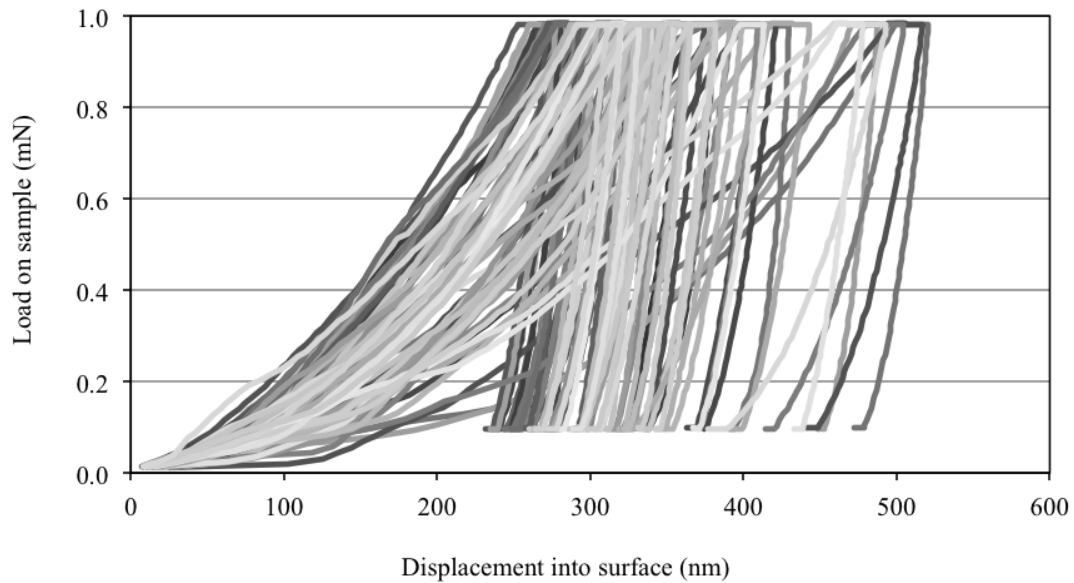
Since different materials were used for the nanoindentation testing, the elastic modulus results may differ from research to study. Table 4 shows the testing range for cement pastes that contain and do not contain short MWCNTs. Testing the log-converted elastic modulus data using the paired non-parametric test and paired p-tests at a 5% level confirmed the equal variance assumption for all eight samples. The test's p-value was found to be less than the alpha level ($= 0.05$). The implication is that there was insufficient data to establish whether the mean was consistently less than or equal to zero for both populations. The results support the alternative theory that shorter MWCNT composites have higher elastic modulus values than those without them.

Table 4: Standard Deviation (SD) of nanoindentation tests

Composites	Specimen 1	Specimen 2	Specime n 3	Specimen 4	Specime n 5	Specimen 6	Specime n 7	Specime n 8
Plain cement paste	6.16	0.53	2.89	3.20	2.53	2.41	3.09	3.12
With short MWCNTs	0.62	3.14	1.62	1.85	1.67	2.49	2.62	2.32

The load-displacement curves for cement pastes with and without short MWCNTs are shown in Figures 5 and 6, respectively. It is clear that short MWCNT-containing cement paste

286 displaces less than conventional cement paste. For the same 1mN load, the largest
287 displacement in plain cement composites is around 513 nm (Fig. 5), while the highest
288 displacement in pastes with short MWCNTs is around 200 nm (Fig. 6). Short MWCNT
289 cement paste is also dimensionally stable than regular cement paste, according to this study.
290 As previously observed, the high-density CSH gel region was more noticeable than the low-
291 density CSH gel when short MWCNTs were incorporated. The displacement values are
292 therefore inversely correlated with the volume fractions of the low- and high-density CSH
293 gels.



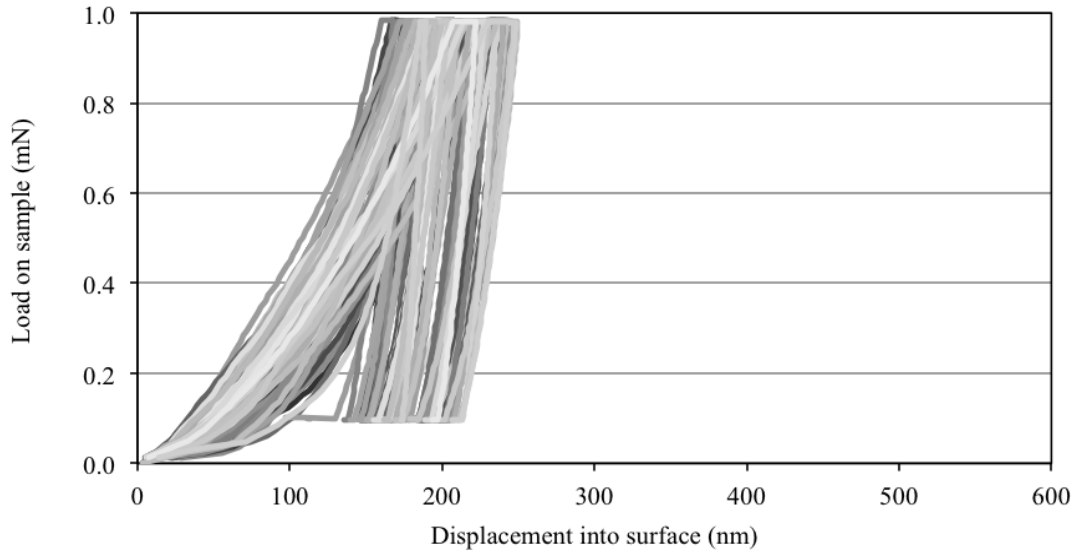
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Fig. 5: Load vs. displacement curves for plain cement paste

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Fig. 6: Load vs. displacement curves for cement paste with short MWCNTs

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301 3.2 Nano-scratching

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The lateral force as a function of scratch length is shown in Figure 7. The lateral force required to dislodge a scratch length of 100m in normal cement paste increases consistently from roughly 0 to 15.5 mN.

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Along the same 100 μm scratch length, the lateral force needed to remove cement paste augmented with short MWCNTs increases from 0 to 15.5 mN. However, there are small differences in the lateral force as well as the force necessary to dislocate the material highlighted by circles in Fig. 7. These oscillations could have been brought on by the tiny MWCNTs that were present in the cement matrix.

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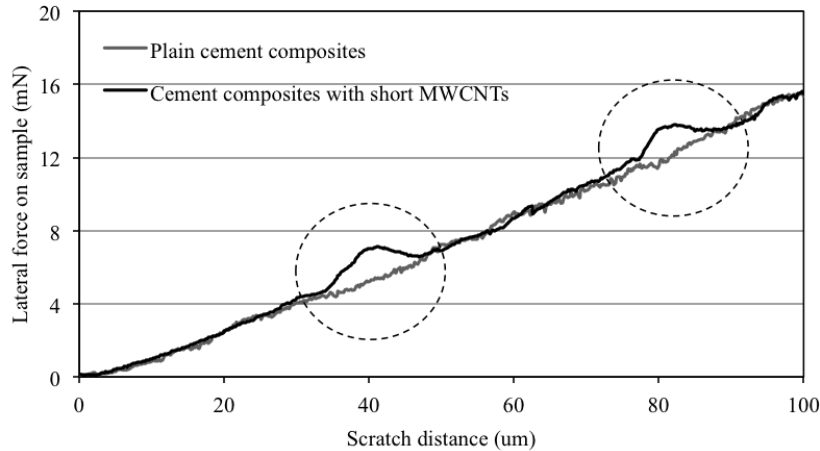


Fig. 7: Lateral force on the sample vs scratch distance

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315 Figures 8 and 9 illustrate the pre-scan, scratch, and post-scan scratch profiles for regular
 316 cement paste and regular cement paste with short MWCNTs, respectively. The line graph at
 317 the bottom of Figures 8 and 9 with the scratch profile has drawn the most attention in this
 318 study. At all spots along the scratch profile, the indenter penetrates the scratch below the
 319 surface, implying that the normal load (50 mN) delivered by the indenter tip is sufficient to
 320 pierce the surface. As the cement pastes contain pores, CSH gel, and CH, all of which have
 321 different stiffness values, the penetration depths vary across the length of the scratch. The
 322 findings of Xu and Yao (2011) are consistent with this penetration depth trend.

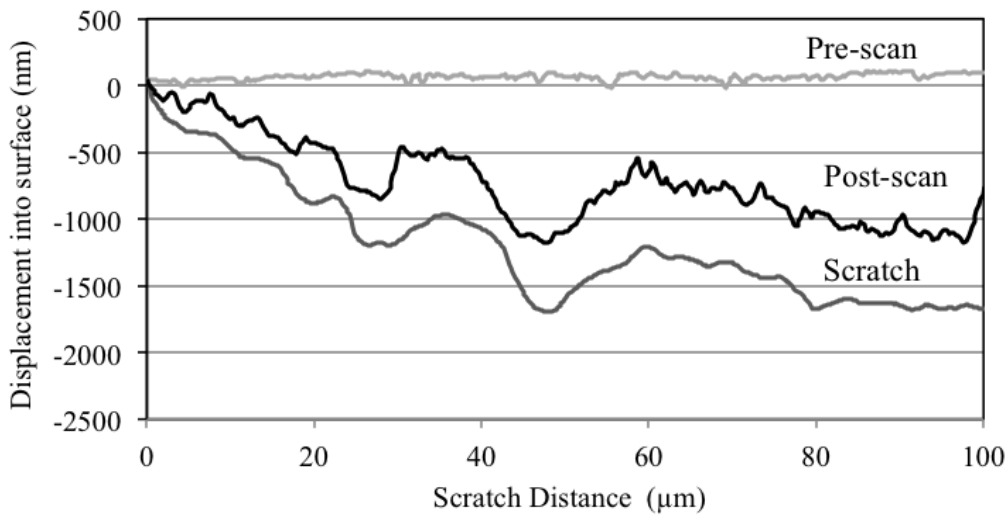
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324 As shown in Figures 8 and 9, the indenter penetrated deeper into the typical cement paste
 325 surface than the paste containing short MWCNTs. The short MWCNT paste's deepest
 326 penetration is less than 2000 nm, but the ordinary cement paste's deepest penetration is larger
 327 than 2000 nm. The material's mechanical response is reflected in the penetration depth, and
 328 a shallow penetration indicates a hard composition. As a result, short MWCNTs make it more
 329 difficult to permeate the substance than ordinary cement paste. However, further information
 330 on the occurrence of CH and clinker is needed before a firm conclusion can be reached.



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Fig. 8: Penetration depth vs scratch distance (plain cement paste)

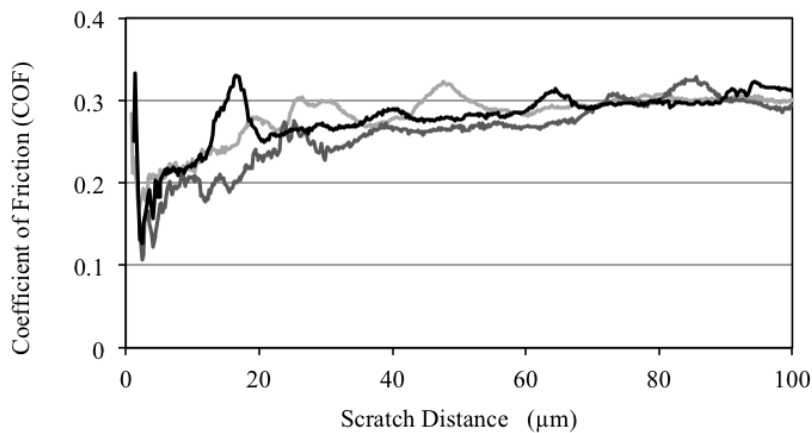


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Fig. 9: Penetration depth vs scratch distance (cement paste with short MWCNTs)

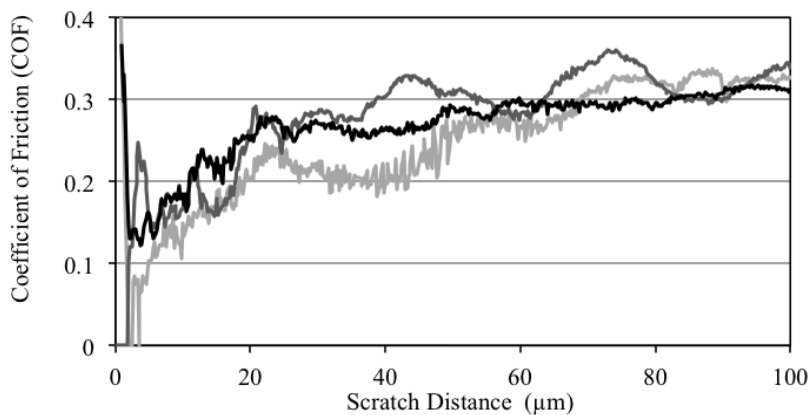
339 The coefficient of friction is the measure of the relationship between the lateral force applied
340 by the indentation tip and the normal force necessary to alter a material's surface (COF). The
341 COF (three scratches) for cement paste with and without short MWCNTs as a function of

342 scratch length is shown in Figures 10 and 11. The COF range for typical cement paste is 0.10
343 to 0.32. The rough surfaces of the samples could explain such discrepancies. The data
344 indicate that the short MWCNT cement paste has COF values that are slightly higher than
345 those of the traditional cement paste. This is expected given that short MWCNT cement
346 pastes have lesser penetration depths than regular cement paste. The term COF, or scratch
347 resistance, refers to the relationship between an increase in normal force and an increase in
348 lateral force. This shows that the material had to be pierced with more lateral effort.
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Fig. 10: Coefficient of friction for plain cement paste

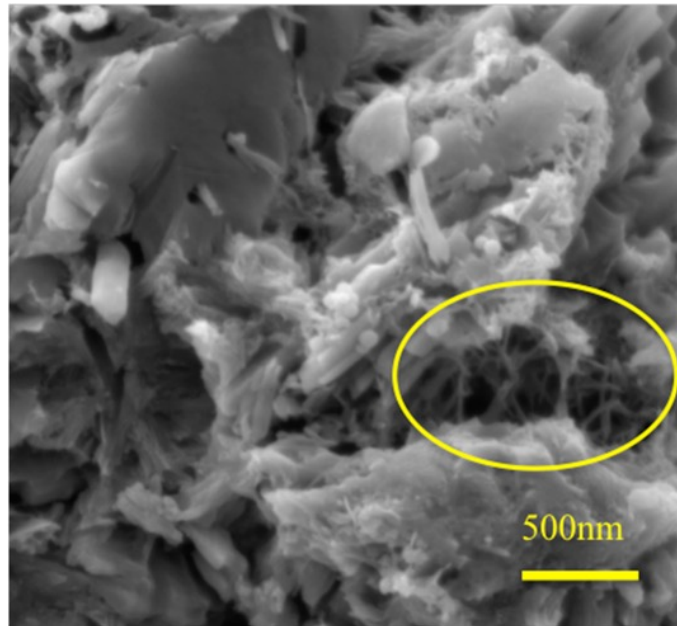


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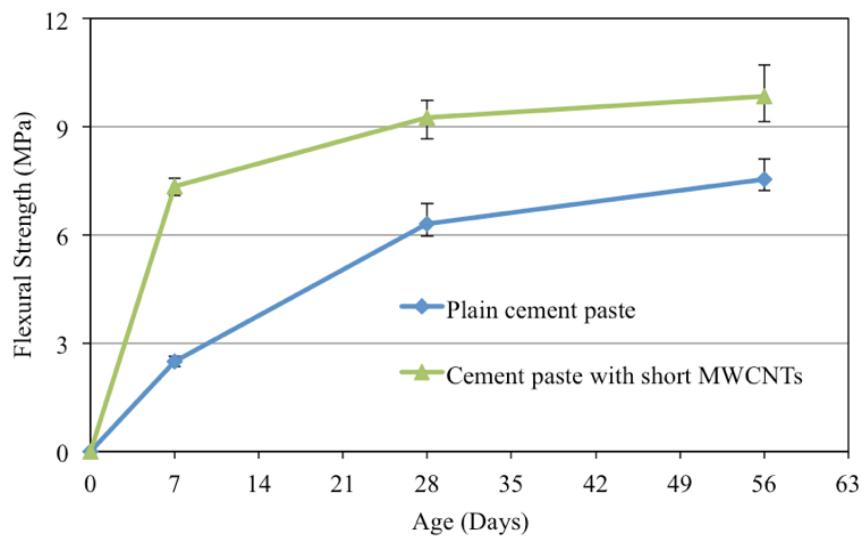
Fig. 11: Coefficient of friction for cement paste with short MWCNTs

355 Figure 12 shows a SEM image of cement paste with short MWCNTs. The short MWCNTs
356 are visible bridging the cement mix's fractures. The effect of introducing short MWCNTs in

357 the cement paste on their flexural strength is seen in Figure 13. Cement paste with short
358 MWCNTs demonstrated better flexural strength than regular cement paste at all ages. The
359 reason is the chemical interaction between carboxylic acid groups on the surface of carbon
360 nanotubes and hydration products (Liew et al., 2016; Lu et al., 2015; Kim et al., 2019).



361
362 **Fig. 12: Cracks bridging by short MWCNTs**
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364
365 **Fig. 13: Flexural strength of cement paste with and without MWCNTs**
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367 **4. Conclusions**

368 In this work, nanoindentation and nanoscratch techniques were used to assess the nanoscaled
369 behaviour of cement paste reinforced with 0.30 percent (by weight of cement) short
370 MWCNTs. The results of the experiment lead to the following conclusions:

- 371
- 372 • The high-density CSH gel area becomes more evident when short MWCNTs are
373 introduced to cement pastes, and cement pastes with short MWCNTs have higher
374 elastic modulus values than pastes without them.
 - 375 • Both the standard cement paste and the cement paste with short MWCNTs require
376 the same amount of lateral force to displace.
 - 377 • When compared to the paste containing short MWCNTs, the indenter penetrates the
378 plain cement paste surface less. This suggests that the material is more difficult to
379 penetrate when short MWCNTs are present.
 - 380 • In comparison to normal cement paste, the coefficient of friction of cement pastes
381 with short MWCNTs is higher.
- 382

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