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4 Full title: Parental neural responsivity to infants' visual attention: how mature brains influence  
5 immature brains during social interaction.

6 Short title: Parental responsivity to infants' attention

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Abstract [150 words]:

Almost all attention and learning - in particular, most early learning – takes place in social settings. But little is known of how our brains support dynamic social interactions. We recorded dual-EEG from 12-month-old infants and parents during solo play and joint play. During solo play, fluctuations in infants' Theta power significantly forward-predicted their subsequent attentional behaviours. But this forwards-predictiveness was lower during joint play than solo play, suggesting that infants' endogenous neural control over attention is greater during solo play. Overall, however, infants were more attentive to the objects during joint play. To understand why, we examined how adult brain activity related to infant attention. We found that parents' Theta power closely tracked and responded to changes in their infants' attention. Further, instances in which parents showed greater neural responsivity were associated with longer sustained attention by infants. Our results offer new insights into how one partner influences another during social interaction.

Author summary (150-200 words):

We are a social species. Most infants, and young children, spend the majority of their early waking hours in the company of others. But, for practical reasons, almost everything that we know about how the brain subserves early attention and learning comes from studies that examined brain function in one individual at a time. Here, we examine the neural correlates of how attention is shared between two people engaged in social interaction. We recorded dual-EEG from infants and parents during parallel Solo Play with toys and during Joint Play. We examined the associations between attention and brain activity in each member of the dyad independently (infant attention<->infant brain, parent attention<->parent brain), and we also examined cross-dyad associations (infant attention<->parent brain). Our findings suggested that infants' attention is more endogenously controlled during Solo Play than Joint Play. They also suggested that parents are neurally responsive to their infants during social play, and that, where the parent is more neurally responsive, the infant is more attentive.

54 **Introduction**

55 Attention and learning are supported by endogenous oscillatory activity in the brain [1-4]. The  
 56 nature of these oscillations, and their relationship to behaviour, develops and changes between  
 57 infancy into adulthood [5-9]. In infants, convergent research has suggested that Theta band  
 58 oscillations, which are particularly marked during early development [10], are associated with  
 59 attentional and encoding processes. Theta band activity increases in infants during periods of  
 60 anticipatory and sustained attention [11]; in 11-month-old infants, differences in Theta band  
 61 oscillations during object exploration predict subsequent object recognition during preferential  
 62 looking [12]. Theta activity also increases in infants in social compared to non-social settings  
 63 [13] and is particularly marked in naturalistic settings [13].

64 Although considerable previous research has investigated how brain oscillations relate to an  
 65 individual's behaviour, only a smaller body of research has investigated the neural mechanisms  
 66 through which interpersonal and social factors influence behaviour [14-16]. This is despite the  
 67 fact that our brains have evolved for social living [17] and most of our lives – particularly early  
 68 life – is spent in social settings [18]. Understanding how social influences on attention and  
 69 learning are substantiated across the brains of people engaging in social interaction, particularly  
 70 during the crucial early stages of attention and learning, is an important goal for research [19,  
 71 20].

72 Previous work has shown that social factors influence infant attention and behaviour over short  
 73 time-frames (seconds/minutes) and long time-frames (months/years). Over long time-frames,  
 74 the children of parents who engage in more joint engagement during play show superior  
 75 cognitive outcomes [21-23]. Over short time-frames, when an infant and social partner jointly  
 76 attend to the same object during naturalistic play, infant attention is increased [24]. Recent  
 77 research has contrasted two explanations for this finding: first, that social context may cause  
 78 infants to be more attentive because they are more in control of their own attention behaviours.  
 79 Second, that social context may offer increased opportunities for parents to scaffold their  
 80 child's attention using external attention cues – so infants are more attentive even though they  
 81 are less in control of their own attention behaviours [25]. Time-series analyses conducted to  
 82 evaluate these two hypotheses provided evidence more consistent with the latter hypothesis:  
 83 first, infants' rate of change of attentiveness was faster during Joint Play than Solo Play,  
 84 suggesting that internal attention factors, such as attentional inertia, may influence looking  
 85 behaviour less during Joint Play [26]. Second, adults' attention forward-predicted infants'  
 86 subsequent attention more than *vice versa* [25]. These behavioural results suggest that infants'  
 87 increased attentiveness during social relative to solo play may be attributable to the presence  
 88 of attention scaffolding from parents using exogenous attention cues [27]. However, to our  
 89 knowledge no previous work has examined this question from the neural perspective.

90 Previous research has shown that ostensive social cues such as eye gaze and vocalisations can  
 91 lead to increases in inter-personal neural synchrony between infants and adults [28].  
 92 Bidirectional Granger-causal influences between the brains of infants and adults engaged in  
 93 social interaction were observed in the Theta and Alpha frequency bands, that were stronger  
 94 during direct relative to indirect gaze [28; see also 29; 30]. Infants vocalised more frequently  
 95 during direct gaze, and individual infants who vocalised longer elicited stronger  
 96 synchronisation from the adult [28]. These findings raise the possibility that, conversely,  
 97 interpersonal influences between the brains of individuals engaged in social interaction may  
 98 also actively drive their partners' attentional processes, and behaviour. However in this  
 99 previous research the direct link to attention and behaviour was not examined.

100 Here, we examined the neural and behavioural dynamics of infants' and adults' attention in  
 101 two contexts (see Fig 1). During Joint Play, each dyad was presented consecutively with toy

102 objects and asked to play together. During Solo Play a 40cm-high divider was placed between  
103 the infant and the parent, and two identical toys were presented concurrently to child and  
104 parent, who played separately (see Fig 1). Looking behaviour was videoed and coded *post hoc*,  
105 frame by frame, at a rate of 30Hz. Time-lagged cross-correlations were used to assess how  
106 changes in one time-series preceded or followed changes in another [31; cf 32, 33] – an  
107 approach similar, but not identical, to Granger-causality [34]. Our analyses examined whether  
108 changes in one time-series ‘forward-predicted’ changes in the other. The age of the infants was  
109 selected to be 12 months because this is considered the age at which the capacity for  
110 endogenous control of attention first starts to develop rapidly [35, 36]. As is typical [e.g. 24],  
111 visual attention was coded as the presence or absence of looking behaviour towards the play  
112 object – albeit that previous research has shown the limitations of looking behaviour alone as  
113 an index of attention [37, 38, 39].

114 Based on previous research [10, 13] we expected that fluctuations in infant Theta activity  
115 would associate with, and forward-predict, fluctuations in infant attentiveness. Based on our  
116 previous research [25] we predicted that the forward-predictive relationship between infants’  
117 own endogenous brain activity and infants’ attentiveness would be higher during Solo Play  
118 than Joint Play, due to the increased prevalence of exogenous parental attention scaffolding  
119 (and capture) during Joint Play. Further, since previous research indicates that parental  
120 responsiveness is an influential factor for early developing cognition [40, 41], we also  
121 examined the short-term associations between infants’ attention and neural activity in the  
122 parent. We predicted, in the absence of prior investigations in this area, that a higher association  
123 between infant attention and neural activity in the parent would predict greater attentiveness  
124 from the infant.

125 **Results**

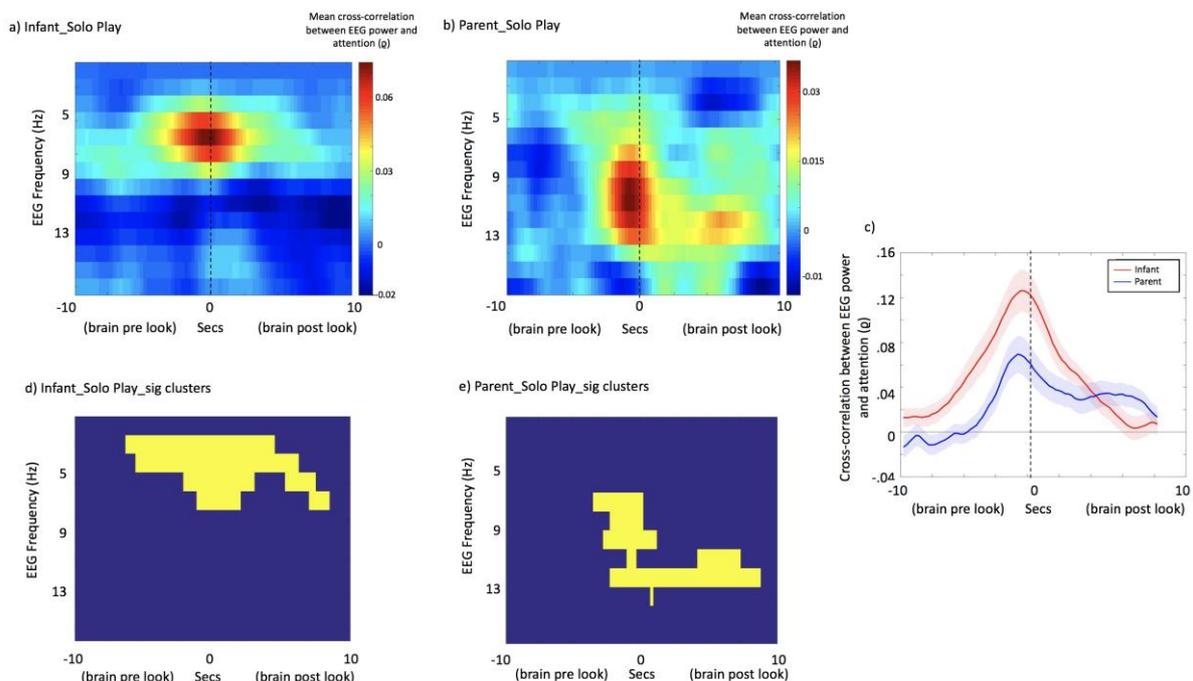
126 Analysis 1 examines the within-individual relationship between EEG power and visual  
 127 attention, separately for Joint Play and Solo Play. Analysis 2 examines the cross-dyad  
 128 relationship between parent EEG power and infant visual attention, separately for Joint Play  
 129 and Solo Play. Analysis 3 examines changes in EEG power relative to individual look onsets.  
 130 This was also calculated both within-individual and across-dyad.

131

132 Analysis 1 – cross-correlation – within-participant

133 Fig 2 shows time-lagged cross-correlations between EEG power and visual attention for Solo  
 134 Play. Figs 2a and 2b show correlations across the frequency spectrum, with time-lag on the x-  
 135 axis and EEG frequency on the y-axis. Figs 2c and 2d show results of the cluster-based  
 136 permutation test. These suggested that the results for both Infant Solo Play ( $p=.002$ ) and Adult  
 137 Solo Play ( $p=.002$ ) differed significantly from chance. For infants, the effect was most  
 138 pronounced in the 3-7 Hz range (Fig 2d); for adults, in the 6-12 Hz range (Fig 2e). In addition,  
 139 to further confirm the results, a separate bootstrapping analysis was conducted, as described in  
 140 the Supplementary Materials (section 2.vi), which yielded identical results.

141 In order to examine at which time window the *peak* cross-correlation was observed between  
 142 EEG power and visual attention, we excerpted the cross-correlation values just for those  
 143 frequency bands identified from the cluster-based permutation test (infants: 3-7Hz; adults: 6-  
 144 12Hz) (see Fig 2c). For infants, the peak cross-correlation was observed at  $t: -750$ ms (i.e.  
 145 between EEG power at time  $t$  and attention 750 ms after time  $t$ ). For adults, the peak cross-  
 146 correlation was observed at  $t: -1000$ ms. (Of note, these numbers do not indicate the time lag of  
 147 the EEG data relative to the *onset* of a look, but rather the time lag of the largest cross-  
 148 correlation between EEG power and attention when treated as two continuous variables.)



149

150 *Fig 2: a and b – Mean time-lagged cross-correlations between EEG power and visual attention for a) Infant Solo Play and b) Parent Solo Play. Time lag between EEG power and visual*  
 151 *attention is shown on the x axis and the EEG frequency on the y axis. c – Cross-correlation*  
 152 *plots just for those frequency bands identified from the cluster-based permutation test as*  
 153

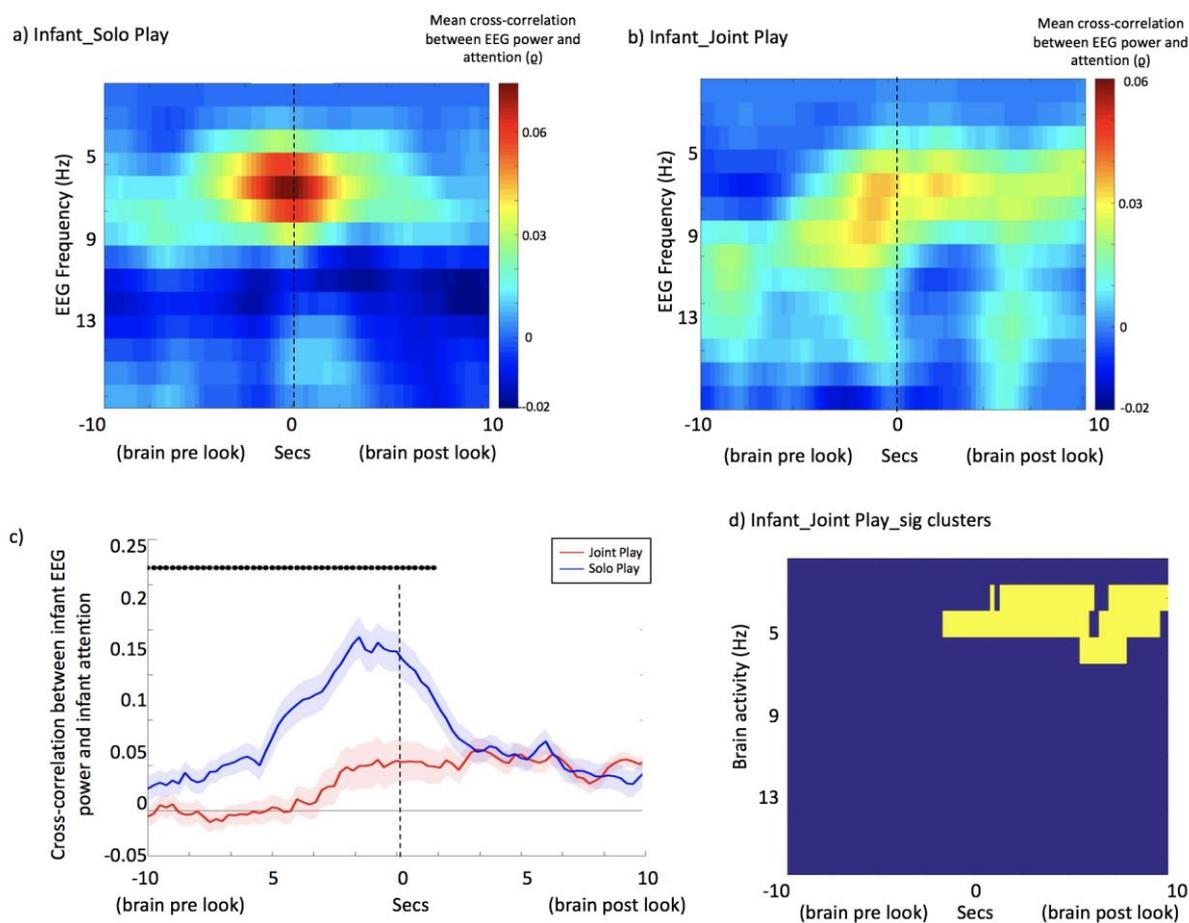
154 showing the most marked differences from chance (infant: 3-7Hz; adult: 6-12Hz). X-axis shows  
 155 time; y-axis, cross-correlation between EEG power and attention. Shaded areas show the  
 156 standard error of the means. *d* and *e* – results of the cluster-based permutation statistic. Yellow  
 157 squares indicate time  $\times$  frequency points of significant cross-correlations.

158

159 Fig 3 compares the mean time-lagged cross-correlations for Infant Solo Play and Infant Joint  
 160 Play. All data, including unpaired data, have been included (see Participants). Figs 3a and 3b  
 161 show cross-correlation plots across the frequency spectrum. (Fig 3a is identical to 2a, and  
 162 included to allow comparison with Fig 3b.) Fig 3d shows the cluster-based permutation test for  
 163 the Infant Joint Play condition. This suggested that the Infant Joint Play condition differed  
 164 significantly from chance ( $p=.008$ ).

165 To directly compare the *peak* cross-correlation values obtained for Infant Solo Play and Infant  
 166 Joint Play, we excerpted the cross-correlation values just for those frequencies that the cluster-  
 167 based permutation test indicated as showing marked differences in both conditions (3-6Hz)  
 168 (see Fig 2c). For Solo Play, the peak cross-correlation was at  $t: -1500\text{ms}$  (EEG power at time  $t$   
 169 to attention 1500ms after time  $t$ ); for Joint Play, the peak cross-correlation was at  $t: +3000\text{ms}$ .

170 In addition, separate unpaired t-tests were conducted at each time window to compare the  
 171 results across conditions, and adjusted for multiple comparisons using the Benjamini-Hochberg  
 172 FDR procedure [42]. Time windows showing significant differences are indicated using black  
 173 dots above the plot in Fig 3c. Results indicate that larger cross-correlations were observed  
 174 during Solo Play relative to Joint Play for all time lags between  $t: -10,000\text{ms}$  and  $t: +1,250\text{ms}$ .



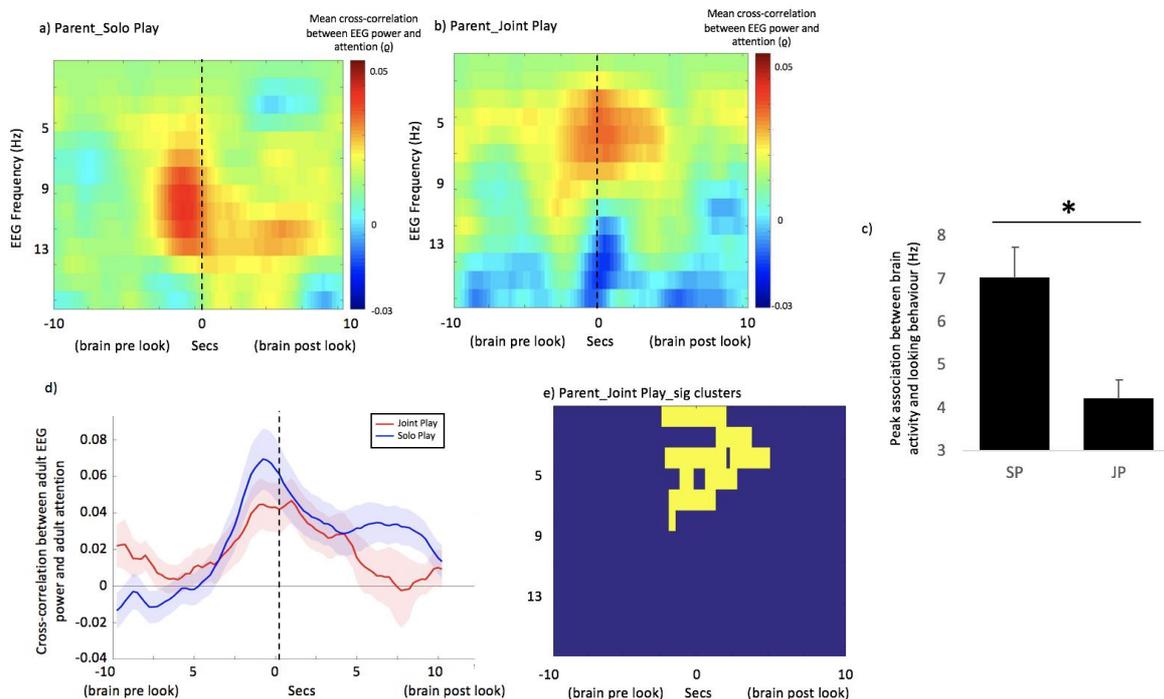
175

176 Fig 3: *a* and *b* – Mean time-lagged cross-correlations between EEG power and visual

177 attention, for a) Infant Solo Play and b) Infant Joint Play. (Fig 3a is identical to Fig 2a, but  
 178 included to allow for comparison with Fig 3b.) c - Line plot showing cross-correlation between  
 179 EEG power and visual attention for just the frequency ranges identified from the cluster-based  
 180 permutation test as showing marked effects in both conditions (3-6Hz). Red shows the Joint  
 181 Play condition, and blue the Solo Play condition. Shaded areas show inter-participant variance  
 182 (standard errors). Dots above the plots indicate the results of the significance calculations to  
 183 assess whether the correlations observed differed significantly between the two conditions. d  
 184 - results of the cluster-based permutation statistic for Infant Joint Play. Yellow squares  
 185 indicate time x frequency points of significant cross-correlations.

186

187 Figs 4a and 4b show the mean time-lagged cross-correlations for Parent Solo Play and Parent  
 188 Joint Play. Fig 4e shows the cluster-based permutation test for Parent Joint Play, which  
 189 indicated significant differences from chance ( $p=.001$ ). For Parent Solo Play, the most marked  
 190 associations between EEG power and attention were at 6-12 Hz (Fig 2b); for Parent Joint Play,  
 191 the most marked associations were at 2-8 Hz (Fig 4e). To assess the significance of this  
 192 difference we measured the frequency of peak association between EEG power and attention  
 193 for parents during Solo Play and Joint Play, across all frequency bands under consideration (2-  
 194 12Hz) during the  $\pm 1000$ msecs time window. Results obtained from the two conditions were  
 195 compared using a paired t-test; a significant difference between the two conditions was  
 196 observed  $t(44)=3.42$ ,  $p=.001$ . This suggests that the peak association between brain activity  
 197 and attention in the parent was observed at lower frequencies during Joint Play than during  
 198 Solo Play.



199

200 Fig 4: a and b – Mean time-lagged cross-correlations examining the relationship between EEG  
 201 power and attention, for Parent Solo Play and Parent Joint Play. (Fig 4a is identical to Fig  
 202 2b, but scaled to be equivalent to Fig 4b to allow for comparison.) c - bar chart comparing the  
 203 frequency of the peak association between EEG power and looking behaviour for parents in  
 204 the Solo Play and Joint Play conditions. \* indicates the results of the significance calculations,  
 205 conducted as described in the main text. d - Line plot showing cross-correlation between EEG  
 206 power and visual attention for just the frequency ranges identified from the cluster-based

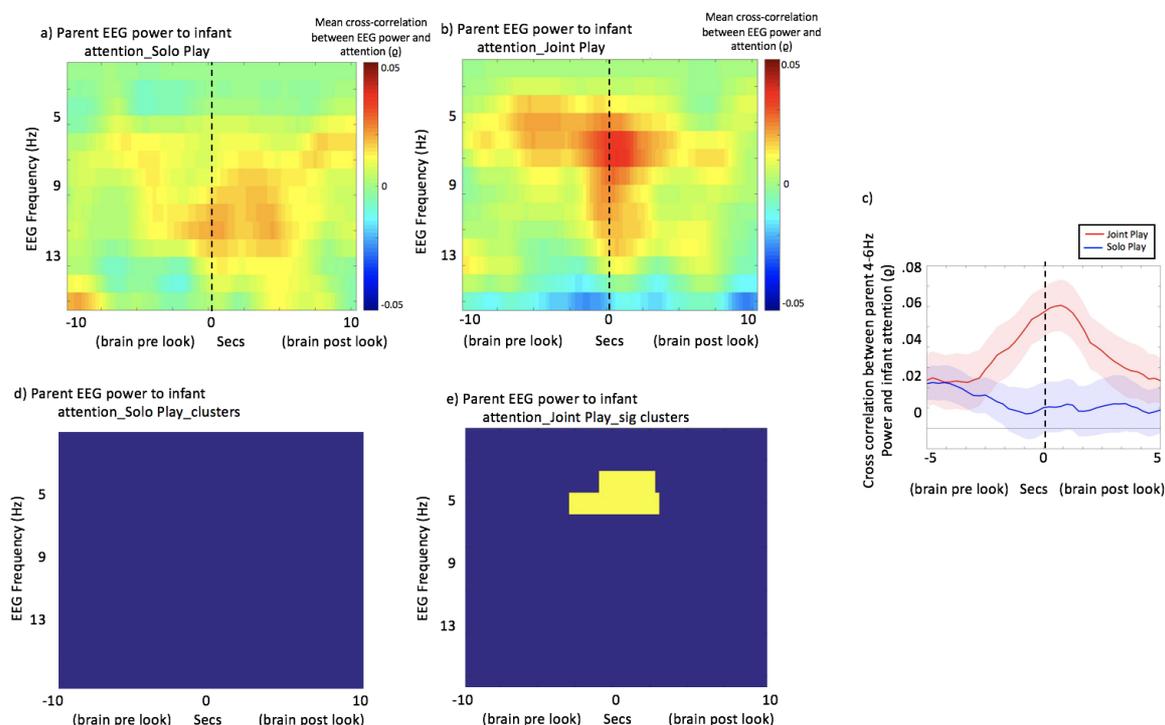
207 *permutation test as showing marked effects in both conditions (Parent Solo Play – 6-12Hz;*  
 208 *Parent Joint Play – 2-8Hz). Red shows the Joint Play condition, and blue the Solo Play*  
 209 *condition. Shaded areas show inter-participant variance (standard errors). e - results of the*  
 210 *cluster-based permutation statistic for Parent Joint Play. Yellow squares indicate time x*  
 211 *frequency points of significant cross-correlations.*

212

## 213 Analysis 2 – cross-correlation – across parent and infant

214 Figs 5a and 5b show the mean time-lagged cross-correlations, and Figs 5d and 5e show the  
 215 cluster-based permutation tests, for the relationship between parents' EEG power and infants'  
 216 attention. For parent EEG and infant attention in the Joint Play condition a significant  
 217 relationship was identified ( $p=.041$ ). The most marked associations were identified in the 4-  
 218 6Hz range (Fig 5e). An identical analysis examining the relationship between parent EEG and  
 219 infant attention in the (concurrent but separate) Solo Play condition identified no significant  
 220 relationship. In addition a further bootstrapping analysis was performed (see Supplementary  
 221 Materials) which confirmed that the observed cross-correlation values significantly exceed  
 222 chance for JP but not SP.

223 For the within-participant analysis of Solo Play, the peak-cross-correlation values observed  
 224 were consistently *negative* ('brain pre look') (Figs 2c, 3c). In order directly to compare the  
 225 *peak* cross-correlation values obtained between the Solo Play and Joint Play conditions, we  
 226 excerpted the cross-correlation values just for those frequency bands identified from the  
 227 cluster-based permutation test as showing marked differences during Joint Play (4-6Hz) (see  
 228 Fig 5c). For Joint Play, the peak cross-correlation value occurred at a  $t:+750$  ms (i.e. between  
 229 infant attention at time  $t$  and adult EEG 750 ms *after* time  $t$ ) ('adult brain post infant look').



230

231 *Fig 5: a and b – Mean time-lagged cross-correlations between parent EEG power and infant*  
 232 *attention for a) Solo Play and b) Joint Play. Time lag between brain activity and visual*  
 233 *attention is shown on the x axis and the EEG frequency on the y axis. c - Line plot showing*  
 234 *cross-correlation between EEG power and visual attention for just the frequency ranges*

235 identified from the cluster-based permutation test as showing marked differences in the Joint  
 236 Play condition (4-6Hz). Red shows the Joint Play condition, and blue the Solo Play condition.  
 237 Shaded areas show inter-participant variance (standard errors). *d* and *e* – results of the  
 238 cluster-based permutation statistic. Yellow squares indicate time  $\times$  frequency points of  
 239 significant cross-correlations.

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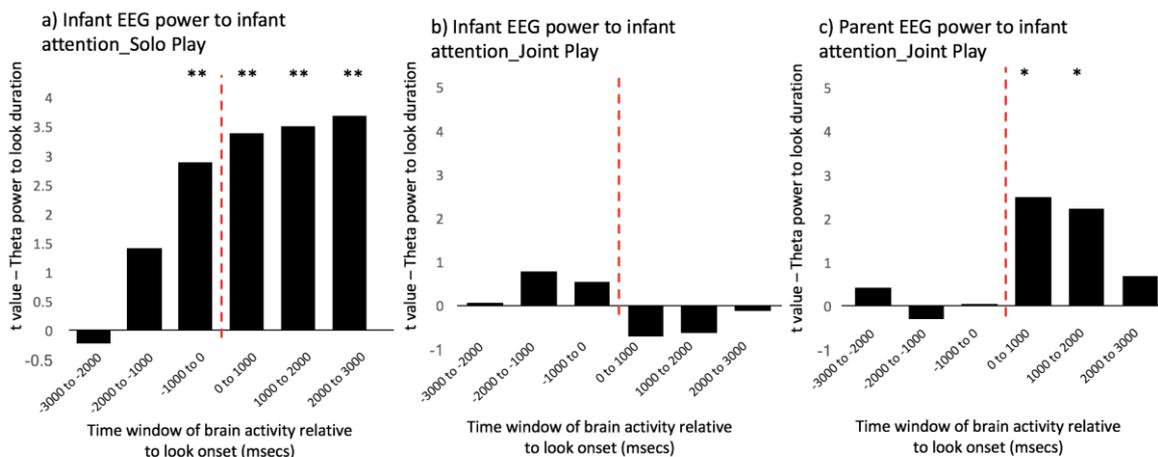
### 241 Analysis 3 – calculation of power changes around looks

242 In addition we conducted a further analysis using separate procedures to those used in Analyses  
 243 1 and 2. Whereas Analyses 1 and 2 examine the cross-correlation between EEG power and  
 244 attention when treated as two continuous variables, Analysis 3 examines changes in EEG  
 245 power relative to the onsets of individual looks.

246 We examined all looks to the play objects that occurred during the session. For each look, we  
 247 excerpted the power in the Theta band for three time windows immediately prior to the onset  
 248 of each look (3000-2000, 2000-1000 and 1000-0 msec pre look onset) and three windows  
 249 immediately after the onset of each look (0-1000, 1000-2000 and 2000-3000 msec post look  
 250 onset). Theta power was defined according to the frequency bands identified from the cluster-  
 251 based permutation tests as showing the most marked differences from chance. These were:  
 252 infant solo play (Fig 2d) - 3-7Hz; infant joint play (Fig 3d) - 4-7Hz); adult to infant (Fig 5e) -  
 253 4-6Hz.

254 We then calculated separate linear mixed effects models for each of the six windows, to  
 255 examine the relationship between EEG power within that time window and look duration. Full  
 256 results are shown in Supplementary Table S1, and key results are shown in Fig 6. In the Solo  
 257 Play condition (Fig 6a) a relationship was observed between infants' Theta power and look  
 258 duration, consistent with the results of Analysis 1 (Fig 2a). Theta power in the time window -  
 259 1000 to 0 msec prior to look onset significantly predicted the subsequent duration of that look,  
 260 consistent with the forward-predictive relationship noted in Fig 2c. The strength of this  
 261 relationship increased for time windows after the onset of the look. Conversely, for Joint Play  
 262 (Fig 6b), there was no significant relationship between infants' Theta power and look duration.  
 263 Again, this finding is consistent with the results of Analysis 1 (Fig 3c).

264 During Joint Play, parental Theta power associated significantly with infant attention in the  
 265 time windows *after* the onset of the look (0 to 1000msec and 1000 to 2000msec) (Fig 6c)  
 266 However there is no relationship in the time windows prior to look onset. This result is also  
 267 consistent with the results of Analysis 2 (Fig 5c).



268

269 *Fig 6: Results of linear mixed effects models conducted to examine whether individual looks*  
270 *accompanied by higher Theta power are longer lasting. For each look, the Theta power for*  
271 *three time windows prior to look onset (3000-2000, 2000-1000 and 1000-0 msec pre look)*  
272 *and for three time windows post look onset (0-1000, 1000-2000 and 2000-3000 msec post look)*  
273 *was excerpted. We then calculated separate linear mixed effects models for each of the six*  
274 *windows, to examine the relationship between EEG power within that time window and look*  
275 *duration. Y axis shows the t value. \*indicates the p values (\*<.05, \*\*<.01). Full results are*  
276 *shown in Supplementary Table S1.*

277 **Discussion**

278 It is well established that attention and learning are supported by the endogenous oscillatory  
279 neural activity of the person attending. However, relatively little is known about how  
280 interpersonal and social influences on attention are substantiated in the brain [16, 43]. To  
281 investigate this, we examined how the oscillatory dynamics of attention are shared between  
282 infant-parent dyads, and how these dynamics differ between non-interactive and interactive  
283 social play.

284 We found that, when infants were engaged in Solo Play, continuous fluctuations in Theta power  
285 forward-predicted visual attention in infants (Fig 2). Consistent with this, a separate analysis  
286 identified a positive association between Theta power in the 1000ms prior to look onset and  
287 the subsequent duration of that look (Fig 6). For adults, a similar functional relationship was  
288 observed, but at a higher frequency (6-12 Hz) in the Alpha band, consistent with considerable  
289 previous research into the role of pre-stimulus Alpha activity in anticipatory visual attention  
290 [44, 45]. Our infant findings are also consistent with previous research suggesting that Theta  
291 oscillations increase in during anticipatory and sustained attention and encoding [10; 12, 13];  
292 but they are novel insofar as we demonstrated these effects during spontaneous attention in  
293 semi-naturalistic settings.

294 During interactive, social play, however, we found that this forwards-predictive relationship  
295 between infants' endogenous Theta activity and visual attention was still present, but much  
296 reduced. Again, this result was observed consistently across two separate analyses (Fig 3 and  
297 Fig 6). Particularly of interest was Fig 3c, which suggested that negative-lag relationships  
298 (attention forward-predicting EEG power) were similar across the Solo and Joint Play  
299 conditions, but that positive-lag relationships (EEG power forward-predicting attention) were  
300 present only during Solo Play. These results are consistent with our previous research  
301 suggesting that endogenous factors, such as attentional inertia, influence infants' attention  
302 more during solo (non-interactive) play than during joint play [25]. Taken together, our results  
303 suggest that infants' endogenous neural control over attention is greater during solo play.

304 These results appear unlikely to be attributable to oculomotor artefact associated with the  
305 onsets and offsets of looks, for a number of reasons. First, during data pre-processing we  
306 removed oculomotor artifacts via ICA (see Supplementary Materials); second, we have only  
307 reported data in this paper from two channels near the vertex – C3 and C4, which show the  
308 least contamination by muscle and motion artifacts. (See Supplementary Figs S5 and S6 for  
309 comparable plots of anterior and posterior midline groups.) Third, the cross-correlation  
310 analysis across different frequencies (Fig 2a) indicated that relationships were specific to the  
311 Theta band. Muscular artefact generally produces the highest contamination in Delta, Beta and  
312 Gamma bands [46, 47]. Fourth, effects were present around the onsets of looks in the Solo  
313 Play, but not the Joint Play, condition (Fig 3a, 3b).

314 Our findings are also unlikely to be attributable to differences in mean look duration between  
315 the two conditions (see Fig S1), for two reasons. First, as in Analysis 1, any artifactual effects  
316 would be random rather than directional (i.e. specifically affecting negative rather than positive  
317 lags). Second, Analysis 1 examined the relationship between attention and EEG power  
318 considered across continuous entire time series, whereas Analysis 3 examined power changes  
319 relative to the onsets of individual looks, and the results from the two analyses produced  
320 converging conclusions. Furthermore, this result is also not attributable to differences in  
321 relative power between the two conditions, as the EEG power spectrum of infants did not differ  
322 across conditions (Fig S2).

323 Overall, however, we found that, despite the fact that infants' endogenous attention control  
324 over their own behaviour patterns appeared to be lower, they were *more attentive* towards  
325 objects during Joint Play (see Fig S1) – a finding consistent with previous research [24]. To  
326 understand why, we examined how adult brain activity related to infant attention.

327 First, we found that, during Joint Play, the frequency of adults' peak association between EEG  
328 power and attention was down-shifted to the Theta range – similar to infants' peak frequency  
329 of association (Fig 4). Second, we found that parent EEG Theta power significantly tracked  
330 infant attention. Again, this result was observed across two separate analyses. Analysis 2 (Fig  
331 5d, 5e) suggested that infant attention associated, over a time-frame of +/- 2 seconds, with  
332 increased parental Theta power. Analysis 3 (Fig 6c) suggested that individual infant attention  
333 episodes accompanied by greater parental EEG power were longer lasting.

334 Importantly, we found that the direction of the peak association differed between solo and  
335 interactive play. During Solo Play, the peak cross-correlation between infant Theta power and  
336 infant attention was observed at negative lag ('brain pre look') (Fig 2c, 3c), and Theta power  
337 1000ms prior to look onset predicted look durations (Fig 6c). During Joint Play, the peak cross-  
338 correlation between adult Theta power and infant attention was observed at positive lag ('brain  
339 post look') (Fig 5c), and Analysis 3 identified backwards-predictive but not forwards-  
340 predictive relationships between adult Theta power and infant look duration (Fig 6c). These  
341 findings appear to suggest that, during Joint Play, parents' Theta power tracks, and responds  
342 to, changes in infants' attention.

343 One possible account of our findings we considered is that infant attention may (Granger-)  
344 cause adult attention, which in turn causes increased Theta activity in adults. This explanation  
345 appears unlikely however, because in the Supplementary Materials we report a control analysis  
346 where instances in which an attention shift from the infant was immediately followed by an  
347 attention shift from the parent were excluded. The results obtained from this subset of the data  
348 were highly similar to those reported in the main text (see Fig S8). Furthermore, as we show  
349 in Fig 1d, adults' gaze forward-predicted infants' attention more than *vice versa*, which also  
350 appears inconsistent with this explanation.

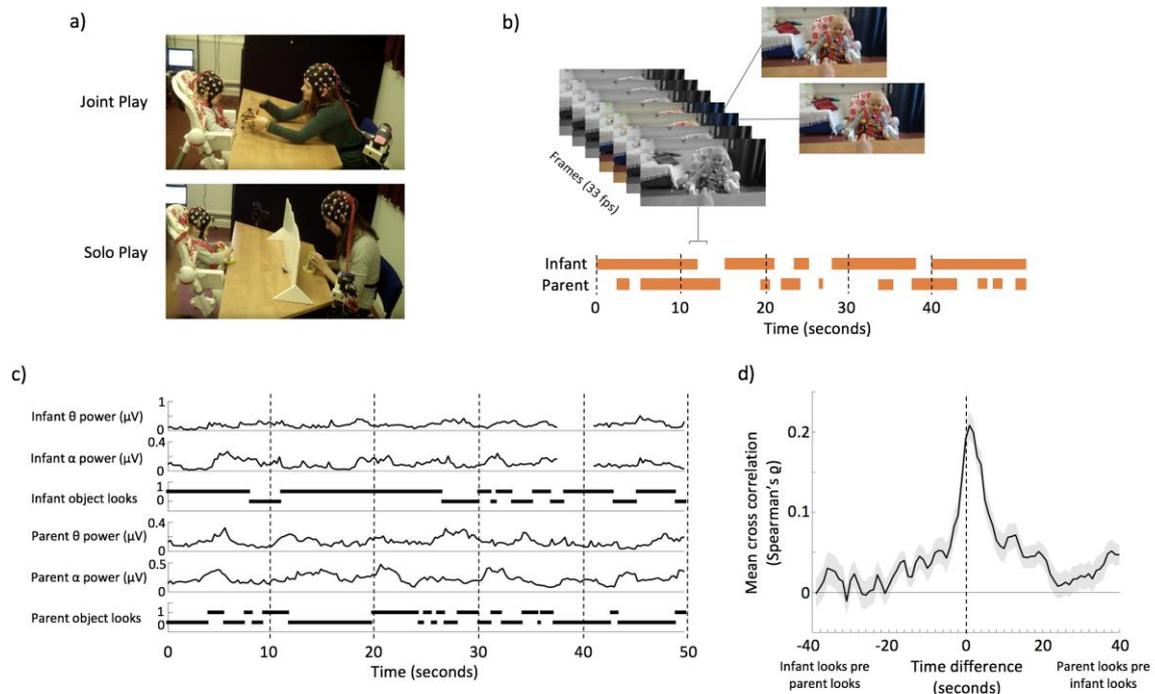
351 Overall, then, our results suggest that adults show neural responsivity to the behaviours of the  
352 child, and that increased parental neural responsivity associates, look-by-look, with increased  
353 infant attentiveness. Temporally fine-grained patterns of parental responsivity to infants have  
354 previously been shown using methods other than neuroimaging, such as micro-coding of facial  
355 affect [48, 49], autonomic physiology [50], visual attention [51] and vocalisations [52; 53].  
356 And, using neuro-imaging, research with adults has provided evidence for common activation  
357 elicited when experiencing emotions such as disgust [54], touch [55] or pain [56] in oneself,  
358 and when perceiving the same feelings in others. However, this is the first study, to our  
359 knowledge, to demonstrate temporal associations between infants' attentiveness and parental  
360 neural correlates of attention, and to show that moment-to-moment variability in adults' neural  
361 activity associates with moment-to-moment variability in infants' attentiveness.

362 Although demonstrated here in the context of parent-child interaction, future research should  
363 explore whether our present findings extend to cover other aspects of social interaction [57].  
364 They should also be extended to explore individual differences – whether some social partners  
365 show greater neural responsiveness to others, and how this influences behaviour [49] – and to  
366 other aspects of inter-personal neural influences than shared attention during joint play. Finally,  
367 future work should examine the mechanisms through which the children of parents who show  
368 increased responsivity over shorter time-frames develop superior endogenous attention control  
369 over long time-frames [21-23, 58, 59].

370 **Methods**

371 *Ethical Permissions.* The study was conducted according to guidelines laid down in the  
 372 Declaration of Helsinki, with written informed consent obtained from a parent or guardian for  
 373 each child before any assessment or data collection. All procedures involving human subjects  
 374 in this study were approved by the Psychology Research Ethics Committee at the University  
 375 of Cambridge (Number PRE.2016.029). No financial inducements were offered other than  
 376 the reimbursement of travel expenses and the gift of a T-shirt for participating infants.

377 *Participants.* 24 and 25 parents contributed usable data for the Joint Play (JP) and Solo Play  
 378 (SP) conditions respectively; for infants, it was 21 and 25 for JP/SP respectively. Paired parent-  
 379 child data were available for 20 dyads for Joint Play (10M/10F infants; mean (st.err.) infant  
 380 age 345.1 (12.1) days; mother age 34.7 (0.8) years) and for 22 dyads for Solo Play (12M/10F  
 381 infants; mean (st.err.) infant age 339.2 (10.3) days; mother age 34.1 (1.0) years). All  
 382 participating parents were female. It should be noted that the recruitment area for this study,  
 383 Cambridge, UK, is a wealthy university town and the participants were predominantly  
 384 Caucasian and from well-educated backgrounds, and so do not represent an accurate  
 385 demographic sample [60]. Details of ethical permissions obtained are given in the  
 386 Supplementary Materials.



387

388 *Fig 1: a) demonstration of experimental set-up; b) illustration of visual coding that was applied*  
 389 *to the data; c) illustration of raw data. EEG data were decomposed using a Fourier*  
 390 *decomposition and power within continuous bins was calculated, epoched to 4Hz; d) cross-*  
 391 *correlation showing the relationship between infant object looks and parent object looks (see*  
 392 *25)*

393 *Experimental set-up.* Infants were seated in a high chair, which was positioned immediately in  
 394 front of a table. The toys on the table were within easy reach (see Fig 1). Parents were  
 395 positioned on the opposite side of the 65cm-wide table, facing the infant. In the Solo Play  
 396 condition only, a 40cm high barrier was positioned across the middle of the table (see Fig 1a).  
 397 When the barrier was in place, parent and child had line of sight to one another (to reduce the  
 398 possibility of infant distress) but neither could see the objects with which the other was playing.

399 Each infant-parent dyad took part in both the Joint Play and Solo Play conditions. Presentation  
 400 order was randomised between participants, but the two conditions were presented  
 401 consecutively, with a short break in between. Parents were informed that the aim of the study  
 402 was to compare behaviour while they were attending to objects separately from each other, and  
 403 when they were attending to the same object. During the Solo Play condition parents played  
 404 silently with the toys alone. During the Joint Play condition they played silently with the toys  
 405 whilst involving their infant in the play,

406 A research assistant was positioned on the floor, out of the infant's sight. The research assistant  
 407 placed the toys onto the table, one at a time. In the Joint Play condition, one toy was presented  
 408 at a time. In the Solo Play condition two identical toys were presented concurrently to the infant  
 409 and parent, one on either side of the barrier. The toys were small (<15cm), engaging objects.  
 410 Presentation order was randomised between conditions, and between participants.  
 411 Approximately every two minutes, or more frequently if the child threw the object to the floor,  
 412 the current toy object was replaced with a new object. The mean (st. err.) duration for which  
 413 each object was presented was 140.1 (17.9) seconds for Joint Play and 110.3 seconds (7.9) for  
 414 Solo Play. Approximately 10 minutes of data was collected per condition from each dyad. The  
 415 mean (st.err.) duration of play for each condition was 10.80 (0.46) minutes for Joint Play and  
 416 10.35 (0.33) minutes for Solo Play. When the infant became fussy during testing, data  
 417 collection was stopped earlier; however, this occurred fairly rarely: the number of infants  
 418 contributing sessions that lasted less than 8 minutes was 2/3 for the Joint/Solo Play conditions.

419 *Video coding and previous behavioural findings.* Play sessions were videoed using two  
 420 camcorders positioned next to the child and parent respectively. Further details of video coding  
 421 and synchronisation are given in the Supplementary Materials. The visual attentional patterns  
 422 of parents and infants was manually coded by reviewing their respective video recordings on a  
 423 frame-by-frame basis (30 frames per second, 33.3 ms temporal acuity) using video editing  
 424 software (Windows Movie Maker) (see Fig 1). This coding identified the exact start and end  
 425 times of periods during which the participant was looking at the toy object.

426 A previous report based on these data, that contained behavioural findings only, reported that  
 427 infants showed longer look durations towards the object during Joint Play (JP) relative to Solo  
 428 Play (SP), together with shorter periods of inattention (see Supplementary Fig S1) [25].

429 *EEG Data Acquisition.* EEG signals were obtained using a 32-channel wireless Biopac Mobita  
 430 Acquisition System and 32-channel Easycap. Further details of EEG acquisition are given in  
 431 the Supplementary Materials.

432 *EEG Artifact rejection and pre-processing.* Automatic artifact rejection followed by manual  
 433 cleaning using ICAs was performed. Full descriptions are given in the Supplementary Methods.  
 434 Because previous analyses have shown that movement and muscle artefacts can contaminate  
 435 EEG [46, 47], data from all channels other than the two channels close to the vertex, C3 and  
 436 C4, were excluded and only frequencies between 2 and 14Hz were examined. Analyses  
 437 suggested that these frequencies show least EEG signal distortion due to sweating, movement  
 438 or muscle artefact [46]. Prior literature [e.g. 11, 61] suggests that these frequencies were also  
 439 most likely to show associations with visual attention. In the Supplementary Materials (Figs  
 440 S5 and S6) we also include comparison plots based on alternative anterior and posterior midline  
 441 electrode groupings, which are consistent with the results reported in the main text.

442 *EEG power analysis.* For each electrode, we computed the Fourier Transform of the activity  
 443 averaged over artifact-free epochs, using the fast Fourier transform algorithm implemented in  
 444 MATLAB (see Supplementary Materials for full description). The FFT was performed on data  
 445 in 2000 ms epochs, which were segmented with an 87.5 % (1750 ms) overlap between adjacent

446 epochs. Thus, power estimates of the EEG signal were obtained with a temporal resolution of  
447 4Hz and a frequency resolution of 1Hz. Supplementary Fig S2 compares EEG power for infants  
448 and parents between Solo Play and Joint Play; no significant between-condition differences  
449 were observed.

450 *Calculation of time-lagged cross-correlation.* The attention data used for the cross-correlation  
451 analysis was re-sampled as continuous and time-synchronised data-streams at 4Hz (to match  
452 that of the EEG power estimate). Attention data were coded as 1 and 0 (either attentive towards  
453 the play object, or not). The cross-correlation calculations were performed separately for each  
454 frequency band (in 1Hz bands) and for each member of the dyad (infant brain-infant attention  
455 and parent brain-parent attention) (Analysis 1). Then, they were calculated across the dyad  
456 (parent brain-infant attention) (Analysis 2).

457 For each computation, the zero-lag correlation was first calculated across all pairs of time-  
458 locked (i.e. simultaneously occurring) epochs, comparing the EEG power profile with the  
459 attention data using a nonparametric (Spearman's) correlation. (In the Supplementary  
460 Materials (Fig S4) we also show the results of the same tests repeated using alternative test, the  
461 Mann-Whitney U test, for which results were identical.) The mean correlation value obtained  
462 was plotted as time "0" ( $t=0$ ) in the cross-correlation. Next, time-lagged cross-correlations were  
463 computed at all lags from -10 to +10 seconds in lags of +/-250ms (corresponding to one data  
464 point at 4 Hz). For example, at lag-time  $t=-250$ ms, the EEG power profile was shifted one data  
465 point backwards relative to the attention data, and the mean correlation between all lagged pairs  
466 of data was calculated. Based on an average of 10.5 minutes data per condition, sampled at  
467 4Hz, and allowing for some attrition at artefact rejection due to the max-min thresholding  
468 criteria, the N of the cross-correlation was c.2300 for the zero-lag correlation and up to 40  
469 fewer for the most shifted correlation. In this way, we estimated how the association between  
470 two variables changed with increasing time-lags. The individual cross-correlation series were  
471 then averaged across participants to obtain the group mean cross-correlation at each time  
472 interval and frequency band.

473 To compare the distribution of time x frequency data between any single condition and a null  
474 distribution, a cluster-based permutation test was conducted across time x frequency data, using  
475 the FieldTrip function `ft_freqstatistics` [62]. In comparison to other approaches to solving the  
476 family-wise error rate, this approach identifies clusters of neighbouring responses in  
477 time/frequency space [63]. In particular, corresponding time x frequency points were compared  
478 between contrast condition and null distribution with a t-test, and t values of adjacent  
479 spatiotemporal points with  $p < 0.05$  were clustered together with a weighted cluster mass  
480 statistic that combines cluster size and intensity (Hayasaka & Nichols, 2004). The largest  
481 obtained cluster was retained. Afterwards, the whole procedure, i.e., calculation of t values at  
482 each spatiotemporal point followed by clustering of adjacent t values, was repeated 1000 times,  
483 with recombination and randomized resampling before each repetition. This Monte Carlo  
484 method generated an estimate of the p value representing the statistical significance of the  
485 originally identified cluster compared to results obtained from a chance distribution.

486 In addition, a supplementary analysis was conducted using bootstrapping in order further to  
487 verify our results (see Supplementary Materials).

488 *Calculation of power changes around looks.* Analysis 3 examined whether individual looks  
489 accompanied by higher Theta power are longer lasting. To calculate this, we examined all looks  
490 to the play objects that occurred during the play session. The onset times of these looks were  
491 calculated, as described above, at 30Hz. Then, for each look, we excerpted the EEG power for  
492 three time windows immediately before, and after, the onset of each look (3000-2000, 2000-

493 1000 and 1000-0 msec pre look onset; 0-1000, 1000-2000 and 2000-3000 msec post look  
494 onset).

495 Separately, we calculated the duration of each look towards the object. Since these were heavily  
496 positively skewed, as is universal in looking time data [64], they were log-transformed. Then,  
497 we calculated separate linear mixed effects models for each of the six windows, using the *fitlme*  
498 function in Matlab. For each model we examined the relationship between EEG power within  
499 that time window and look duration, controlling for the random effect of participant. In this  
500 way we examined whether, for example, Theta power in the time window 1000 to 0 msec prior  
501 to the onset of a look showed a significant relationship to the subsequent duration of that look.

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505  
506 **References**

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