

1 **Determining sustainable design management using passive**
2 **design elements for a zero emission house during the**
3 **schematic design**

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15
16 **Abstract**

17 There is an increasing trend toward building energy-saving and zero-carbon-emission house
18 worldwide. Even if diverse sustainable technologies have been developed and adopted, existing
19 design strategies are decided intuitively by an expert's qualitative evaluation and not by objective,
20 quantitative design guidelines. The present study analyzes passive design elements (PDEs) from
21 existing sustainable housing projects as a method for quantitative evaluation. PDEs could be suitable
22 methods to house owner who does not have professional knowledge in construction of zero emission
23 house (ZEH).

24 Extracted PDEs are analyzed by an analytic hierarchy process (AHP) to determine which PDEs are
25 applicable for limited budgets. Through the AHP, PDEs are re-sorted based on the order of importance

26 weight and predominant 7 PDEs are determined. Due to characteristic of passive house which
27 envelops the house from outside environment, PDEs would be applied before design of house
28 structure. Therefore predominant PDEs could be considered first when the zero emission house (ZEH)
29 is developed. The proposed sustainable design management (SDM) based on PDEs would be
30 profitable for decision-making during the schematic design phase, which is an important stage in
31 selecting suitable design elements in ZEH construction, because environmental engineer or consultant
32 could not be involved from early stage. With the utilization of SDM consisting of PDEs, potential
33 ZEH clients could easily launch their ZEH project without early involvement of sustainable expert.
34 Taking account of energy consumption in residential sector, application of SDM has a significant
35 contribution for substantial carbon reduction.

36

37 **Author keywords:** Analytic Hierarchy Process, Design Management, Passive Design, Zero Emission
38 House.

39 **Introduction**

40 Humankind is currently facing an unprecedented challenge, global warming. The building
41 environment is one of the main sources of carbon emissions related to global warming [1]. Since
42 Gunter Pauli's advocacy of the zero-emission concept in 1994, various industries have followed the
43 zero-emission theory. The theory's principles also have been adopted in the construction industry as a
44 concept for a ZEH. According to Mohamed and Alistair [2], reducing the carbon emissions in the
45 housing sector would be an effective way to mitigate global warming. Lee and Burnett [3] also argue
46 that the building sector accounts for 31% of the total energy consumption worldwide, and the
47 residential sector accounts for over half of the energy consumption in the building sector. In detail,
48 according to European Environmental Agency [4], 12% of greenhouse gas emissions are generated
49 only in residential sector.

50 Numerous studies on reducing the carbon emissions have been conducted in the ZEH field. There is
51 mounting literature on the use of hybrid heating, ventilation, and air conditioning (HVAC) systems as
52 one of the main parts of a ZEH [5-6]. Because of advances in technology hybrid HVAC systems are
53 now widely applied in the housing industry, from a single house to a large apartment complex.
54 Material has also been main field of study for ZEH [7]. Maria and Justo [8] stressed that
55 approximately 30% of total carbon emissions can be reduced through the selection of low
56 environmental impact materials. According to the study of Harn and Chee [9], the maintenance phase
57 accounts for over 80% of total carbon emissions during the building life cycle compared to the other
58 phases, such as the construction or design phase. Therefore, energy saving designs that can directly
59 reduce carbon emissions throughout the building maintenance phase has been studied. Because PDEs
60 do not generally incur additional operating costs once they are installed, they are the design factors
61 that have the greatest long-term effect on the maintenance phase [10-11].

62 PDE could be a practical and efficient solution for energy efficiency. Because passive design uses
63 environment such as solar, wind, or geothermal energy, they need small amount of extra energy from
64 energy grid. Moreover, because, passive design focusses on keeping energy with passive method

65 including insulation, air-tight envelope, or thermal material, they also do not need complicated
66 operation. Therefore, in considering above characteristic, PDE is suitable way for ZEH.

67 Substantial research on ZEH using PDEs has been conducted by Wang et al. [12]. They argue that
68 the most critical factors are PDEs for reducing carbon emissions, although studies of integrated design
69 technology combined with active and renewable design have been increasing. Suresh et al. [13] also
70 assert the crucial role of PDEs in zero-emission or energy-saving housing by demonstrating the
71 practical efficiency for each PDE. They strive to conduct exhaustive research on the building
72 envelope components through technical reviews of the recent developments in various building
73 envelopes and their energy efficiencies. Aksoy and Inalli [14] also studied the building orientation and
74 shape as practical passive parameters. They demonstrated the importance of building orientation and
75 shape to extract solar energy effectively.

76 Many studies have tended to demonstrate the practical efficiency of only one or two PDEs. The
77 efficiency of such PDEs, however, cannot be studied individually. Jordan et al. [15] focused on
78 realizing an integrative engineering system for energy efficient houses. Through quantitative tests,
79 they demonstrated several factors that have practical effects on saving energy during the building
80 maintenance phase. Lee [16] addressed the hybrid system as an integrative system between passive
81 and active design factors. They argue that a combined design based on the relationship between
82 fluctuating outdoor conditions and the HVAC system is a crucial factor for effective energy
83 performance. Antvorskov [17] also examined the integrative system that is most suitable for energy
84 performance of a building, and he demonstrated his argument practically with experimental research
85 on hybrid ventilation systems.

86 Even with diverse research on ZEH and passive design, studies that systemically examine design
87 management for ZEH are very rare. In most cases, just like the aforementioned studies, PDEs tend to
88 be studied unsystematically [18]. Few studies have focused on systemic design management or
89 integration of design parameters. Among these few studies, the research by Mazouz and Zerouala [19]
90 is notable. They insist that integration of design elements can have a substantial effect on the
91 efficiency of individual PDEs.

92 Moreover, in a number of ZEH projects, PDEs are selected intuitively; the choice for a specific
93 component is mostly based on the intuition and experience of the designer. However, intuition and
94 experience are neither absolute nor objective. A recent study of design-based projects revealed that
95 80%-90% of design components are selected without methodical consideration of an alternative [20].
96 The establishment of energy-saving strategies has been decided based on the vocational background
97 and experiences of experts in the housing construction industry [21]. Therefore, appropriate and
98 methodical design strategies should be required not only systemically but also syntagmatically.
99 Moreover, because of the lack of expertise on PDEs, appropriate design strategy have not been
100 established from early stage. In This research, importance of each PDE is analyzed by AHP for
101 establishment of pertinent design strategy, AHP supports decision-maker in determining the priority of
102 the decision through a quantification the importance of survey that target experts [22]. By adoption of
103 AHP, surveyed PDEs would be objective and consistent.

104

105 **Research Methodology**

106 This research adopts a compositely methodological approach, consisting of the analysis of previous
107 studies, a questionnaire survey, and follow-up computational analysis. From the analysis of previous
108 studies, a total of 31 projects are considered as sample projects focusing on energy-saving engineering.
109 Only housing projects that have an environmental purpose, utilize passive ways, or are built by eco-
110 friendly construction methods, are considered. Among the 31 sample projects, 5 projects are
111 developed as eco-friendly housing supported by the government, while 4 sustainable housing projects
112 are conducted by housing provider as an experimental exhibition house to lead the passive housing
113 market (Table 1).

114 Sample projects are selected from different countries which consist of leading countries including
115 Europe and Japan and promising countries such as USA and Korea. They have also different
116 environment and building codes. However, because, most sites are located in temperate climate
117 regions, environmental differences following climate are not severe. Therefore, even if there is

118 slightly design practices among countries, required sustainable elements are similar each other
119 between USA (LEED) and UK (BREEAM).

120

121 **Insert < Table 1. Sampling of sustainable housing projects > here**

122

123 The questionnaires are designed based on findings of the analysis of previous studies to validate
124 dominant PDEs and the order of importance of PDEs recognized by experts working in the housing
125 industry. The questionnaire contains three main sections: energy saving, bio-climate, and energy
126 acquisition. The questionnaire is designed to investigate the validity of practical evidence and
127 preference surrounding the energy saving and carbon emission themes during the schematic design
128 phase.

129 The survey participants are divided into three main sections in accordance with their working
130 experience and professional knowledge: building designer, general contractor, and environmental
131 consultant including engineer and sustainable engineer. They have accumulated various data and
132 practical performances from real cases. In addition, they could predict suitable PDEs even before full
133 drawings through their vocational experiences and knowledge.

134 In this research, a total of 30 experts validate appropriate factors with respect to selected PDEs.
135 These experts are involved in 22 architectural firms, contractors, engineering firms, or environmental
136 consultant companies and have been involved in building projects, including sustainable housing, for
137 an average of 11.3 years. All of companies are ranked within top 25 respectively in their own business
138 fields. All participants consist of senior directors (3), directors (2), senior managers (7), managers (12),
139 and assistant managers (6).

140 A computationally intensive statistical method is utilized to analyze the quantitative questionnaire
141 data. AHP analysis based on questionnaire is used as a main methodology in this research. And then
142 result of AHP is repeatedly dealt with by computational analysis program, Expert Choice to increase
143 the accuracy and consistency. This is means of determining importance values to assign weighting

144 based on the knowledge of human experts. Through the repeated comparisons, the participants can use
145 an actual case for the factors, or can use their judgments about the elements' relative meaning and
146 importance [23]. In order to test the reliability of the results, the data are re-checked against major
147 inconsistencies from the original data.

148

149 **Factors affecting project success**

150 Accurate identification of project factors could be useful to judge the reason for project success or
151 failure. An abundance of theoretical and empirical research has been conducted since the 1960s on
152 factors that affect a project's success. Hayfield [24] established two critical factor categories to
153 determine if a project will be successful: macro and micro factors. The macro factors include a
154 thorough definition of the project, an efficient method for project implementation, and comprehension
155 of the project environment. On the other hand, the micro factors include formulation of project
156 policies, clear and simple project organization, efficient management control, and reliable
157 management system. In addition, according to Belassi and Tukel [25], critical factors affecting project
158 success can be classified into four main groups: the project, project manager, organization, and
159 external environment.

160 Based on the above review, it is clear there are diverse factors that critically impact a project.
161 Furthermore, thorough recognition of respective project factors is more important to the success of a
162 project. The present study examines PDEs in greater detail, the role of carbon reduction and practical
163 preference.

164

165 **Selection procedure and initial PDEs**

166 **Factor selection**

167 There are criteria for the practical review of energy-saving housing projects. Firstly, recent projects
168 built from early 1990 to 2011 are reviewed to collect effective elements, which can be applicable in

169 the near future. Secondly, even if housing projects are developed for an environmental purpose or by
170 sustainable methods, excessively large projects having over 200 units are excluded from the review.
171 This is because many design factors installed in large-sized housing projects have basic differences in
172 their application.

173 According to the Kimberly et al. [26], classification standard, most energy-saving elements can be
174 divided into three main categories: passive design elements (PDEs), active design element, and
175 renewable energy elements. Among these three categories, PDEs have the strongest effect on energy
176 performance throughout the maintenance phase [13,27-28]. Although a passive concept is dominant,
177 all of the hybrid and integrated concept-based design elements are excluded from PDEs, because it is
178 too difficult to judge which of the elements relates most significantly to efficiency if they each have a
179 distinct effect on reducing carbon emissions. Hence, only definite PDEs are considered.

180 Finally, 36 PDEs are classified from 31 sample projects. Even if absolutely enormous PDEs are
181 utilized in 31 sample projects, selected PDEs are adopted at least 3 different projects. This means that
182 selected 36 PDEs could be adopted extensively even diverse regions. In addition, lots of PDEs
183 belonging to 36 PDEs have been researched in academic fields as mention in Introduction.

184 Although other effective design elements which are not dealt with in this research are included in
185 renewable energy and active design, only 36 elements are considered as PDEs in this study. These
186 elements are re-classified into 20 PDEs through the filtering process such as Material analysis, Design
187 process analysis shown in Fig. 1.

188 Most of all, among 36 PDEs, some PDEs that are less related to energy saving or reducing carbon
189 emissions are excluded. Although, for example, biotope is one of the important elements in
190 sustainable housing, it was excluded because biotope does not have a direct relationship with energy-
191 saving or reducing carbon emissions [29].

192

193 **Insert < Fig 1. Factor selection process > here**

194

195 Secondly, through the Material analysis, factors which use similar material are merged into a single
196 design element. For example, a green roof, roof plant, sedum roof, and moss roof have very similar
197 material as well as construction costs [30]. Lastly, through the Design process analysis, factors having
198 similar design processes are also combined as one design element. High-efficient window factor,
199 vacuumed glazing window factor, and triple glazing window factor can be combined as one PDE,
200 because they have very similar insulating performance as well as detail design.

201

202 **PDE data description**

203 As a result of the data filtering, 20 PDEs are selected to calculate their own importance. All of the
204 factors can be mainly divided into three categories; energy saving, bio-climate, and energy acquisition
205 although they have their own individual characteristics.

206

207 **Insert < Table 2. 20 Selected PDEs description > here**

208

209 Currently sustainable PDEs focus more on the energy saving element than responding to
210 bio-climate or energy acquisition elements, as shown by the analysis results in Table 2. From
211 the economic perspective of the house owner, a more attractive way for protecting the
212 environment is energy saving. Through energy saving, not only the energy cost of a house,
213 but also carbon emissions could be reduced [31].

214 Therefore, if PDEs are recognized as applicable and considerable for ZEH at low
215 application cost, PDEs could be quite positive proposal to potential clients who are intending
216 to construct ZEH. Even if some PDEs, such as solar panels, solar mirror lights or double skin,
217 require special installation, PDEs are generally applied within the building itself, which
218 means that they do not need complex installation equipment after the building has been
219 erected. Moreover, many parts of PDEs are closely related with the building design, such as a

220 sunroom, window and building orientations, and zoning plan, which are decided during the
221 schematic design phase. Thermal and building insulation techniques in particular are the
222 dominant part of many PDEs. From the perspective of cost-effectiveness and work feasibility,
223 the 20 selected PDEs are evaluated and then, all of the PDEs are enumerated in order of
224 importance alongside the relevant function.

225

226 **Analytic hierarchy process (AHP)**

227 AHP method as a one of the decision elements is performed using a scale of weight, to
228 generate the input data. Several scale of comparison simulates most closely human decision
229 making when comparing objects [32]. Since the first introduction by Saaty [23], AHP have
230 dealt with complex problems as a new approach, which often involves a great deal of
231 uncertainty. AHP provides a simple process for weighting portions of the hierarchy that
232 cannot be enumerated directly. This model extracts expert knowledge that can guide effective
233 cognition of useful weights. AHP uses a hierarchy to represent a decision problem and then
234 develops priorities for the alternatives based on the participant's judgments throughout the
235 model [23,33]. Once the hierarchy is built, the participants systematically evaluate its various
236 elements by comparing them such as pairwise comparison. Since the main advantage of this
237 approach is a possibility to compare the criteria in pairs rather than all at a time. This method
238 also allows the conversion of qualitative estimates elicited from experts to quantitative
239 estimates, implying that the values of the criteria weights can be calculated [34]. However,
240 AHP do not apply to problems that have resource feasibility, and optimization requirement. In
241 spite of this limitation, the advantages of AHP are that it is relatively easy to use, very simple,
242 allows for rapid re-planning, can incorporate qualitative and subjective factors, and provides
243 a methodology to measure the consistency of these judgements [35].

244

245 **Factor weight calculation**

246 The important weights of the 20 selected PDEs are calculated. A pairwise comparison
247 method used for the importance weight of PDEs is based on the statistical data on the criteria
248 describing the compared alternatives or expert estimates [36]. Estimation of the exact
249 efficiency of each PDE is very difficult, even though there is a distinct positive influence on
250 energy consumption with integrated PDEs. The first step of the analytic hierarchy process
251 (AHP) is to classify a hierarchy by organizing the problem. The next step is to evaluate the
252 relative importance of the factors with respect to the overall objective using a set of pairwise
253 comparison matrices.

254 A total of 570 question sections are designed to be closed-ended questions using a scale
255 from '0' (lowest level) to '17' (highest level). The experts select one element that seems to be
256 more important corresponding to the factors being compared, giving a subjective judgment
257 by their vocational experience and knowledge. According to the degree of importance of the
258 chosen element, the scale of importance of each PDE is estimated.

259 The last step is that the AHP measures the overall consistency of judgments by means of a
260 consistency ratio (CR). The CR provides a way of measuring how many errors were created
261 when providing the expert judgments. If the CR is below '0.1', the errors are fairly small and
262 thus, the final estimate can be accepted [37]. If it is more than '0.1', the judgments may be
263 somewhat random and should perhaps be revised. This was proposed by Saaty [23] to
264 measure the inconsistency in the pairwise comparison using Eq 1. The CR equals is given by
265 division of CI by random consistency index (RI). The RI value depends on number of
266 compared factors. RI values for different numbers of factors are presented in Table 3.

267

268 $C = \frac{CI}{RI} \dots \dots \dots (Eq. 1)$

269 where CR is consistency ration, CI is consistency index, and RI is random consistency
270 index.

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272 **Insert < Table 3. Random consistency index (RI) [33] > here**

273

274 The value of the CI is calculated using Eq 2. [37]

275 $CI = \frac{(\lambda_{max} - n)}{n - 1} \dots \dots \dots (Eq 2)$

276 Where n is the number of compared factors, and λ_{max} is the maximum eigenvalue of a
277 judgment matrix which corresponds to the group of compared factors.

278

279 An appropriate CR value justifies extracting expert knowledge that can guide effective
280 cognition of useful weights. Therefore, after CR checking, if the figures present inconsistent
281 results, judgment should be repeated. This is because the selected alternatives have their own
282 importance weight following the results of the data calculation based on the expert’s choice.
283 The priority of the PDEs, which would be considered and applied to the practical ZEH
284 construction, is presented as a concrete figure according to each weighted result.

285 Among the 20 PDEs, the top seven elements are southern window+northern facade
286 insulation, natural ventilation, air-tight structure, triple-pane glazing/ vacuum glazing window,
287 external insulation system, zoning Plan, and double skin element, as seen in Table 4. These
288 top elements are also top ranked in the priority results, except for the airtight structure
289 element; this result based on multiple selections from the experts, is utilized as back-data for
290 the final estimation of the PDEs importance weight.

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Insert < Table 4. 20 Selected PDEs and Weight > here

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According to the report of the Passive House Institution [38], generally four to six PDEs are applied to construct each energy-saving house. Moreover, the Building Research Establishment (BRE) examined seven PDEs to explain the characteristics of their experimental houses in BRE Innovation Park. This means that, five to seven PDEs would be considered when constructing a ZEH, owing to the cost-efficiency aspect [39]. In addition, only the top seven elements are dominant as compared to other PDEs in this research, as seen in Table 4. Therefore, this research also focuses on the top 7 PDEs.

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Even if, another design element can be added or subtracted from this list owing to a limited budget or the client's preference, it is reasonable to predict that the PDEs could be considered and applied in the same order as presented in Table 4 in almost all cases of passive concept based ZEH. Moreover, some elements that conventionally have been utilized in zero-emission houses such as a roof garden, solar panels, and sun-rooms are not highly ranked as critical factors for PDEs. This result shows that in accordance with complicated equipment in housing, design preferences have been shifting from conventional elements in ZEH.

309

Design management using PDEs

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Involvement during schematic design phase

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Almost all PDEs investigated in this research are closely related to building structure and shape, unlike installation of sustainable equipment that should be added after construction of the basic structure. Sustainable design applied during the schematic design phase is more efficient than adaptation during the design development stage by at least 15% for energy

315 saving in a building [40]. For example, some PDEs that are ranked within top seven elements,
316 such as southern window+northern facade insulation, natural ventilation, zoning plan
317 elements, should be considered and determined during the schematic design phase. As these
318 kinds of elements strongly influence building shape and size, they are directly related to
319 construction costs as well as energy performance [41].

320 As seen in Table 4 (Red bar graph), one-third of the PDEs are directly related to the basic
321 building structure and housing shape or size. Although other PDEs also are related to the
322 building structure, the degree of influence on building structure is negligible in terms of
323 construction costs and period, because these PDEs could be applied without complicated
324 installation after completion of the basic building structure. This means that because of the
325 distinct characteristics of the passive design concept that utilize minimum equipment
326 installation, numerous PDEs could be considered and applied during the schematic design
327 phase [42-43]. Moreover, when considering the size of or budget for a residential building,
328 residential building does not require quietly complicated or diverse equipment. Almost all
329 PDEs are applied before the designer plans the basic building structure or shape or the
330 designers determine the area or volume of housing.

331

332 **Description of top seven PDEs**

333 Among the top seven elements, three elements belong to the bio-climate category and the
334 remaining four elements belong to the energy saving category. This result indicates that in
335 terms of the passive design concept, the most important factors are not related to energy
336 acquisition but those related to energy saving, such as high efficiency windows or insulation
337 systems. These seven PDEs pertain not to how required energy can be acquired artificially,
338 but to how they can be harmonized with the environment and building itself.

339 Southern window+northern façade insulation, natural ventilation, and zoning plan factor
340 are strongly related to basic architectural design following the environmental conditions. On
341 the other hand, other factors such as air-tight structure, triple-plane glazing/vacuum glazing
342 window, external insulation system, and double skin are related to energy saving through
343 creation of an effective building envelope.

- 344 • Southern window+northern façade insulation: windows are installed facing a
345 southern direction to allow as much solar energy as possible, and northern walls are
346 insulated against the harsh wind from the north during the winter season [44].
- 347 • Natural ventilation: Concept is similar to the southern window+northern façade
348 insulation. Taking wind direction and speed into consideration, indoor air quality
349 could be improved using an appropriate open plan [45-46].
- 350 • Zoning plan: This element is associated with architectural design. To achieve highly
351 efficient heating, the housing plan is divided into three main sections: heating zone,
352 non-heating zone, and buffer zone. The main living space, including the living room
353 and bed room, belongs to the heating zone, and these rooms are designed adjacent to
354 each other. Subsidiary spaces, which do not need heating, such as corridors or utility
355 spaces are allocated together as the non-heating zone.
- 356 • Air-tight structure: This factor is related to the construction method for preventing
357 heat conduction between the interiors and exteriors [47]. A heat-bridge and cold-
358 bridge could be prevented through the incentive external wall construction during
359 summer and winter seasons. Even a small part could influence the air-tightness of the
360 entire building.
- 361 • Triple-plane glazing/vacuum glazing window: This factor is also similar to the air-
362 tight structure. However, this factor only focuses on the window material instead of

363 the external wall, because most of the thermal bridges occur through windows;
364 nevertheless, the window area generally accounts for 16% -20% of the total building
365 envelope [48]. For this factor, indoor temperature is maintained by utilizing highly
366 effective window materials.

367 • External insulation: This factor is related to the method of installing insulation. There
368 are three main insulation installation methods: inside insulation, central insulation,
369 and outside insulation [49]. In terms of energy saving, the most efficient measure
370 among the three insulation methods is external insulation. Because of external
371 insulation, the building structure is not exposed to the outside environment, which
372 prevents contraction and expansion of the building structure, one of the main reasons
373 for the thermal bridge [50].

374 • Double skin: This factor is similar to the external insulation factor. Currently,
375 numerous housing projects are constructed as high-rise buildings, especially in Asia.
376 Herein, the external wall shifts to curtain wall construction using material such as
377 metal or window panels. In a building with curtain wall construction, the double skin
378 system controls the solar radiation and indoor temperature [51-52]. During the
379 summer season, indoor-air quality is improved by discharging warmed air within a
380 buffer zone between the double skins. When warmed air is discharged to the outside,
381 indoor air is also discharged simultaneously. Conversely, through the inflow of
382 warmed air indoors from the buffer zone, energy costs could be reduced in the winter
383 [53]. Moreover, a double skin shade could not only prevent inflow of direct solar
384 radiation but also acquire solar heat from solar panels.

385

386 **Sustainable design management (SDM)**

387 During the schematic design phase, a designer does not ensure whether certain PDEs
388 applied to the project have essential influence on energy performance. The prediction of
389 practical cost-effective is very difficult for designers and clients when they set carbon
390 emission level during the schematic design phase.

391 Even if equipment engineers are involved during the schematic design phase, they cannot
392 provide concrete energy-saving strategies as there are no tangible design drawings for
393 selecting suitable PDEs. In addition, involvement of sustainable engineer from early stage is
394 reason of increasing initial cost. Therefore, sustainable design management guides are
395 required to clients in many cases of ZEH. In some case, however, PDEs are excessively
396 adopted without any organized design strategy. Even if installed equipment is the latest one,
397 performance of equipment is not efficient.

398 Application of sustainable design from schematic design phase is more efficient at least
399 15% for energy saving [40]. Therefore, systematical sustainable design management (SDM)
400 which could increase energy performance should be established from schematic design phase.
401 In addition, it could also avoid unnecessary rework following design change which is caused
402 by non-strategic application of sustainable design.

403 As a kind of SDM tool, PDEs selected according to an importance weight order may be
404 used valuable as a design management tool or design guidelines for construction of ZEH.
405 Because SDM is able to support the choice of suitable PDEs to avoid unpredictable rework, it
406 would make positive influence on construction cost [54]. With the use of SDM, lots of
407 potential clients who hesitate over the construction of ZEH can apply PDEs more easily.

408

409 **Contribution to existing knowledge**

410 **Comparison with existing studies**

411 Numerous studies have been conducted to resolve carbon emission problem. However, a
412 majority of studies focus on renewable energy and active design, such as improving HVAC
413 performance. Only a small number of studies have been focused on PDEs. Moreover, these
414 few studies only examine the practical performance of one or two PDEs by thorough
415 experimental measurements. Although the efficiency and feasibility of each PDE have been
416 analyzed, it is very difficult to determine which PDE is more preferred by experts during the
417 schematic design phase and which application order for PDEs is more available for limited
418 budgets. The present study not only examines different kinds of PDEs based on practical
419 projects but also focusses on the importance order by an expert's choice. Therefore, this
420 research is useful as a design guide for decision-making instead of solely relying on intuitive
421 judgment.

422

423 **Contribution to zero carbon emission**

424 According to a report published by PASSIVHAUS [28], 21% of potential ZEH clients are
425 abandon their ZEH plan due to the high-cost. Construction cost of ZEH is 32% higher than
426 the cost of normal house [55]. Even if diverse PDEs should be considered and compared for
427 ZEH, involvement of sustainable consultant is difficult from schematic design phase because
428 client cannot afford to contact with them for small scale of house project.

429 However, if there is an applicable SDM consisting of PEDs at schematic design phase, it
430 could be a support to both clients and designers to conduct ZEH project. Result of this
431 research is estimated by importance and preference of PDEs which are used in existing
432 energy-saving house projects. Top 7 PDEs which are ranked objectively by expert could be
433 considered and adopted priory for ZEH. PDEs analyzed in this research can play as a SDM
434 without early support of environmental expert. Moreover, this easy approach for sustainable

435 design would make ZEH to be attractive and widen. With utilization of SDM based on top 7
436 PDEs, client who hesitating to construct ZEH because they do not have professional
437 knowledge in passive technology will have more opportunities to recognize what sustainable
438 elements are applicable and what relations are between PDEs and basic building plan. More
439 clients considering construction of ZEH will be purposed to construct ZEH in the near future.
440 With the application of SDM, ZEH could achieve a 75% reduction in space heating
441 requirements, compared to standard practice for UK new build [56]. The PDEs therefore
442 gives a robust method to help the industry achieve the 80% carbon reductions. Taking
443 account of energy consumption in residential sector which accounts for 12% of overall
444 energy consumption, this research could be a significant contribution for substantial carbon
445 reduction.

446

447 **Conclusion**

448 PDEs extracted from existing housing projects were examined for construction of ZEH. The
449 importance of and preference for 20 practical PDEs were then examined by relevant experts using a
450 questionnaire. Through the AHP, analysed PDEs were re-sorted according to importance weight order.
451 As a result, sustainable design management (SDM) in ZEH construction was set based on the top
452 seven high-ranked PDEs. This indicates that SDM can be applied for most types of housing, because
453 all of the PDEs examined in this study were selected from diverse types of housing projects, from a
454 single house to an apartment complex. The decision-maker can acquire practical and available
455 information about dominant PDEs with the utilization of this SDM during the schematic design phase.
456 Conclusively, this improved SDM would be useful for energy saving and reducing carbon emissions
457 through the expansion of ZEH construction. However, further studies are required to improve several
458 aspects of the suggested SDM. Firstly, PDEs selected by importance weight order should be re-
459 classified into several secondary categories, in accordance with external conditions, such as housing

460 type, size, and location. The decision maker may be able to apply appropriate design strategies during
461 the schematic design phase with the application of re-classified sub-categories. Furthermore,
462 supplementary studies of SDM will be required for its application to non-residential buildings.
463 Because half of carbon emissions are from the residential sector, commercial and industrial sectors
464 remain to be studied.

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Insert < Appendix 1. 36 PDEs description> here